



**LE SUEUR COUNTY BOARD OF COMMISSIONERS
MEETING AGENDA
November 21, 2017**

1. **9:00 a.m. Agenda and Consent Agenda**
RE: November 7, 2017 Minutes and Summary Minutes
RE: St. Peter Legion Gambling Application
RE: Blue Earth County Chapter of Minnesota Pheasants Inc. Gambling Application
(event date change)
2. **9:05 a.m. Claims (5 min)**
3. **9:10 a.m. Human Services (35 min)**
4. **9:45 a.m. Human Resources (5 min)**
5. **9:50 a.m. Jim Gorgart, Veterans Services (5 min)**
RE: Veterans van trade
6. **9:55 a.m. Peter Klein, St. Paul Port Authority (10 min)**
RE: PACE Assessment Request
7. **10:05 a.m. Amy Beatty, Environmental Services (10 min)**
RE: Le Sueur County Feedlot Work Plan
8. **10:15 a.m. Darrell Pettis, County Administrator**
RE: Justice Center Update
RE: West Jefferson
RE: Set Date for CIP Hearing: December 12th, 2017 at 10:00 a.m.
RE: Drainage Conference

RE: Miscellaneous

9. **11:00 a.m. West Jefferson Ordinance Public Hearing (30 min)**
RE: Ordinance Establishing Interim SSTS Standards for West Jefferson Sewer Service District
10. **Commissioner Committee Reports**
11. **Future Meetings**



Le Sueur County, MN

Tuesday, November 21, 2017

Board Meeting

Item 1

9:00 a.m. Agenda and Consent Agenda

RE: November 7, 2017 Minutes and Summary Minutes

RE: St. Peter Legion Gambling Application

RE: Blue Earth County Chapter of Minnesota Pheasants Inc. Gambling Application (event date change)

Staff Contact:

Minutes of Le Sueur County Board of Commissioners Meeting

November 7, 2017

The Le Sueur County Board of Commissioners met in regular session on Tuesday, November 7, 2017 at 9:00 a.m. in the Courthouse at Le Center, Minnesota. Those members present were: Steve Rohlfling, Dave Gliszinski and John King. Brent Christian and Darrell Pettis were also present. Joe Connolly and Lance Wetzel were excused.

On motion by Gliszinski, seconded by King and unanimously approved, the Board approved the agenda for the business of the day.

On motion by King, seconded by Gliszinski and unanimously approved, the Board approved the consent agenda:

- Approved the October 24, 2017 County Board Minutes and Summary Minutes
- Approved a liquor license for Little Dandy.
- Approved a gambling application for Sanborn Lake Ladies Ducks Unlimited

On motion by Gliszinski, seconded by King and unanimously approved, the Board approved the Human Services claims:

Financial: \$ 35,082.09
Soc Services: \$130,790.01

Amy Beatty, Environmental Services appeared before the Board with three items for discussion and approval.

Amy presented an update on the status of German-Jefferson Subordinate Sewer District and compliance, and a FRST update.

On motion by King, seconded by Gliszinski and unanimously approved, the Board approved and authorized the Board Chair and County Attorney to sign a Household Hazardous Waste Inter-County Agreement between Scott and Le Sueur County.

Josh Mankowski, Resource Specialist with Environmental Services appeared before the Board with an update on the BWSR Block Grant.

On motion by Gliszinski, seconded by King and unanimously approved, the Board acknowledged the total of \$62,466 was received for fiscal year 2018 and was deposited into the following accounts:

Local Water Management: \$29,948.
(Check cut to Le Sueur County SWCD in the amount of \$16,447-WCA)
Shoreland: \$ 4,918.
SSTS: \$27,600.

Brett Mason, Sheriff appeared before the Board with one item for approval.

On motion by King, seconded by Gliszinski and unanimously approved, the Board approved and authorized the Board Chair to sign a Medical Examiner Services contract between Le Sueur County and M.B. McGee P.A.

Mike Wiese, Barry LaFreniere and Matt Doerge with AP appeared before the Board with Darrell Pettis, County Administrator with several items for discussion and approval.

On motion by Gliszinski seconded by King and unanimously approved, the Board approved and authorized the Board Chair and Administrator to sign AP Contract Amendment 1 for Construction Services.

On motion by King, seconded by Gliszinski and unanimously approved, the Board approved the following BP1 Contract Award recommendations:

BP1 – 03A & 04A	Northland Concrete & Masonry	\$1,488,950
BP1 – 03B	Wells Concrete Products Company	\$1,347,000
BP1 - 05A	Thurnbeck Steel Fabrication, Inc.	\$ 858,057
BP1 – 07A	Greener World Solutions, LLC	\$ 96,000
BP1 - 31A & 33A	JJD Companies, LLC	\$ 919,000

On motion by Gliszinski, seconded by King and unanimously approved, the Board approved the Design Development Cost Estimate for the Justice Center project with a grand total project cost of \$35,779,738 for Phase 1.

Darrell Pettis, County Administrator appeared before the Board with several items for discussion.

Darrell reviewed the MCIT 2016 Annual Report, gave a West Jefferson project update and reviewed a Series 2018A and 2018B bonds draft schedule.

Commissioner Committee Reports:

Commissioner Gliszinski attended a Justice Center meeting

Commissioner King attended an AMC Regional Meeting and a Le Sueur County Extension Committee meeting.

Commissioner Rohlfing attended an AMC Regional meeting and a City-Council meeting.

On motion by King, seconded by Gliszinski and unanimously approved, the following claims were approved for payment:

Warrant #	Vendor Name	Amount
47436	American Engineering Testing	\$ 12,145.00
47439	APG Media of Southern MN LLC	\$ 2,146.80

47444	Bolton & Menk Inc.	\$ 4,879.90
47445	Braun Intertec Corp.	\$ 14,087.10
47447	Cargill Inc.	\$ 28,257.75
47448	Christian, Keogh, Moran & King	\$ 2,833.11
47450	Climb Theatre	\$ 2,070.00
47454	CPS Technology Solutions	\$ 2,575.00
47457	D-A Lubricants Co Inc.	\$ 3,584.10
47462	Erickson Engineering Co. LLC	\$ 5,095.50
47467	Genesis	\$ 5,530.02
47486	M-R Sign Co. Inc.	\$ 2,459.24
47505	North American Safety Inc.	\$ 2,419.00
47510	Paragon Printing & Mailing Inc.	\$ 4,173.71
47515	Pro-West & Assoc. Inc.	\$ 4,793.57
47517	Ratwik, Roszak, Maloney P.A.	\$ 3,160.34
47520	Ronald Ringquist	\$ 8,584.03
47526	S.E.H. Inc.	\$ 17,978.70
47527	Selly Excavating Inc.	\$ 13,139.50
47528	SHI International Corp.	\$ 42,034.00
47530	S.M.C. Co. Inc.	\$ 32,540.71
47532	St.Peter Lumber Co.	\$ 2,089.50
47534	Suel Printing Co.	\$ 6,246.85
47535	Summit	\$ 8,597.08
47536	Sunde Engineering PLLC	\$ 2,555.00
47538	Thomson Reuters	\$ 2,190.91
47541	Tire Associates Inc.	\$ 8,883.36
47543	Topper's Plus Inc.	\$ 2,492.99
47545	Traxler Construction Inc.	\$ 10,899.97
47546	Tri-County Solid Waste	\$ 15,024.30
47551	VINE Faith in Action	\$ 7,704.18
47555	Wenck Associates Inc.	\$ 50,451.18
97 Claims paid less than \$2,000.00:		\$ 36,414.71
32 Claims paid more than \$2,000.00:		\$331,622.40
129 Total all claims paid:		\$368,037.11

On motion by Gliszinski, seconded by King and unanimously approved, the Board closed the regular meeting and went into a closed session to develop a strategy for labor negotiations per MN Statute 13D.03 Subd.1(b).

On motion by King, seconded by Gliszinski and unanimously approved, the Board approved to end the closed session.

On motion by King, seconded by Gliszinski and unanimously approved, the Board adjourned until Tuesday, November 21, 2017 at 9:00 a.m.

ATTEST: _____
 Le Sueur County Administrator Le Sueur County Chairman

Summary Minutes of Le Sueur County Board of Commissioners Meeting, November 7, 2017

•This is only a summary publication per MN Statutes 375.12 and 331A.01 sub. 10. The complete minutes are on file in the Le Sueur County Administrator's Office at 88 S Park Ave. Le Center, MN and are available at www.co.le-sueur.mn.us.

- Approved the agenda. (Gliszinski-King)
- Approved the consent agenda. (King-Gliszinski)
- Approved Human Services claims: Financial \$ 35,082.09 and Soc Services \$130,790.01(Gliszinski-King)
- Approved a Household Hazardous Waste Inter-County Agreement between Scott and Le Sueur County. (King-Gliszinski)
- Approved the BWSR Block Grant total of \$62,466 received was deposited into the following accounts: Local Water Management \$29,948 with \$16,447 to WCA, Shoreland \$4,918 and SSTS \$27,600. (Gliszinski-King)
- Approved a Medical Examiner services contract. (King-Gliszinski)
- Approved AP Contract Amendment 1 for Construction Services. (Gliszinski-King)
- Approved the BP1 Contract Award recommendations.(King-Gliszinski)
- Approved the Design Development Cost Estimate for the Justice Center project. (Gliszinski-King)
- The following claims were approved for payment: (King-Gliszinski)

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47436	American Engineering Testing	\$ 12,145.00
47439	APG Media of Southern MN LLC	\$ 2,146.80
47444	Bolton & Menk Inc.	\$ 4,879.90
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32	Claims paid more than \$2,000.00:	\$331,622.40
129	Total all claims paid:	\$368,037.11

- Closed the regular meeting and went into a closed session. (Gliszinski-King)

- Approved to end the closed session. (King-Gliszinski)

- Adjourned until Tuesday, November 21, 2017 at 9:00 a.m. (King-Gliszinski)

ATTEST: Le Sueur County Administrator Le Sueur County Chairman



Minnesota Pheasants, Inc.

Blue Earth County

PO BOX 202, 507.387.9011
GOOD THUNDER MINNESOTA 56037

November 20, 2017

Minnesota Gambling Control Board
Suite 300 South
1711 West County Road B
Roseville, MN 55113

Re: Blue Earth County Chapter, Minnesota Pheasants, Inc.
Permit # X-33227-18-14 - Caribou Gun Club (May 3rd, 2018)

Hello,

We are writing to correct an error on our part regarding the permit # X-33227-18-14. On the original permit application we inadvertently used Friday May 18th as the date of the event at the Caribou Gun Club when in fact the event is Thursday May 3rd, 2018. At your convenience please correct our mistake. We apologize for any inconvenience.

Please find below acknowledgment from Le Sueur County accepting the date change.

Thank you for your assistance.

Kind Regards,

Howard O. Ward - President, Blue Earth County Chapter, Minnesota Pheasants, Inc.

Le Sueur County

Le Sueur County Personnel Signature: _____

Title: _____

Date: _____

Minnesota Pheasants Inc., Blue Earth County Chapter - Since 1987
A 501 (c)(3) Non-Profit Conservation Organization - Federal Tax ID: 41-1597196
www.mnpheasants.com - MNPheasantsBE@gmail.com



Le Sueur County, MN

Tuesday, November 21, 2017

Board Meeting

Item 2

9:05 a.m. Claims (5 min)

Staff Contact:



Le Sueur County, MN

Tuesday, November 21, 2017

Board Meeting

Item 3

9:10 a.m. Human Services (35 min)

Staff Contact:

**Human Services Board Agenda
November 21, 2017 @ 9:10 a.m.**

100- INFORMATION/PRESENTATIONS:

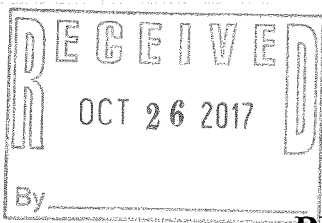
- 101 - *General Updates and Highlights*
 - 101.1 - 2016 Human Services Cost Report
 - Le Sueur County DHS ranked 13th lowest cost per capita in State
 - 101.2 - Child Support Performance Improvement Plan
 - 101.3 - Rule 13 Licensing Review
 - 101.4 - November 1st was Financial Worker and Case Aide Day (Governor Proclamation)

200- CHARTS/GRAPHS:

- 210- Finance Graphs/Report;
- 220- Income Maintenance/Child Support Graphs;
- 230- Family Services Graphs-
 - 231- Social Services Team
 - 232- Child Services Team
 - 232.1- Out Of Home Placement Report
 - 232.2- In-Home Family Therapy Report;
 - 233- Behavioral Health Team

300- BOARD APPROVAL ITEMS:

- 310 - Brown County Evaluation Center 2018 Contract (Detox Services)
- 320 - CMHS Services Agreement for 2018
- 330 - Master Contract with MN Valley Action Council 2018 and Notice of Funds Available and Budget for the MFIP Program
- 340 - Greater Minnesota Family Services Contract 2018
- 350 - MFIP Biennial Agreement
- 360 - Commissioner's Warrants



**BROWN COUNTY EVALUATION CENTER, INC.
PO BOX 642, NEW ULM, MN 56073-0642**

DETOX
PHONE: (507)359-9111
FAX: (507) 359-7726

BUSINESS OFFICE
PHONE: (507) 359-2749
FAX: (507) 354-7706

10/17/2017

Dear Member Counties:

This letter is in regards to the per diem increase for 2018. Two factors in 2017 have led to the per diem increase of 12.6%/\$36.00 per day for 2018. The first factor for the increase is we are unable to bill Blue Plus insurance company. Blue Plus no longer reimburses detoxification services as they had in the past. Billing Blue Plus allowed us to bill at an increased rate compared to the county per diem rate which in turn saved member counties money. The second factor is our days of service are down over 200 client days, year to date. When preparing the budget we use the previous year's census to help us arrive at a realistic number of client days. This factor also has led to a decrease in revenue.

Unfortunately these two factors have culminated in the necessary per diem increase even though our 2018 budget increase is only 1.4%. If you have any further questions, I can be reached at 507-359-3656. Business Manager Royce Wempen can be reached at 507-340-5619.

Sincerely,

A handwritten signature in black ink, appearing to read "Kris Swenson". The signature is fluid and cursive, written over a horizontal line.

Kris Swenson
Executive Director

**BROWN COUNTY EVALUATION CENTER, INC.
DETOX DIVISION**

COUNTY PURCHASE OF SERVICE AGREEMENT

THIS AGREEMENT is between Brown County Evaluation Center, Inc., 510 North Front Street, New Ulm, Minnesota 56073, hereinafter referred to as the "Contractor" and LeSueur County Human Services, hereinafter referred to as the "Agency."

1. Term of Agreement

This Agreement shall commence January 1, 2018, and expire December 31, 2018.

2. Member County

To be a member county, LeSueur County, or any other county, will sign this agreement and agree to call Contractor first and use Contractor exclusively as bed space is available in the New Ulm detox facility for all detoxification and evaluation services needed.

3. Eligible Recipients

For the purposes of this Agreement, Eligible Recipients will be only those individuals who meet one or more of the following criteria:

- a. Patients who appear to be intoxicated; or
- b. Patients experiencing physical, mental, spiritual, emotional, or social problems due to withdrawal from alcohol or another drug; or
- c. Patients held under Minnesota Statutes, section 253B.07 Subdivision 6; or
- d. Patients who have been committed under Minnesota Statutes Chapter 253B and are in need of temporary placement; or
- e. Patients being held as Chemically Dependent under Minnesota Statutes, section 253B.05 Subdivision 1 and 2.

4. Services to be Provided

- a. The Contractor will provide detoxification and evaluation services to all LeSueur County Residents that are either self-referred, referred by LeSueur County Human Services, or by LeSueur Law Enforcement. Also, services will be provided to non-LeSueur County residents who are referred to the Contractor by LeSueur County Law Enforcement or LeSueur County Human Services.
- b. The Contractor will provide detoxification and evaluation services to LeSueur County referrals at the New Ulm, Minnesota detox facility.
- c. The Contractor will provide a transport vehicle and transport personnel. This service is contingent on availability of the Contractor's vehicle. This transportation service is from designated pickup points to the Contractor's Center.
- d. Contractor shall refer and transfer Eligible Recipients to New Ulm Medical Center, New Ulm, Minnesota for additional treatment as prescribed, needed, or indicated in or by an Eligible Recipient's acute medical or psychological condition, or when it appears there may be a

danger to an Eligible Recipient because of illness or complications. The responsibility of payment of any medical services if Eligible Recipient has no medical insurance, Medical Assistance, or any other means to pay will be negotiated between the Eligible Recipient and the medical service provider.

- e. Before discharge from the New Ulm detox facility, the Contractor will provide a mental health assessment by a mental health professional for any Eligible Recipient who is admitted with, or develops suicidal ideation while receiving detoxification services. Responsibility for payment of mental health assessment if Eligible Recipient has no medical insurance, Medical Assistance, or any other means to pay will be LeSueur County or referring county.

5. Payment for Services

- A. The Contractor shall provide a monthly billing to the Agency within 15 days after month's end. Following is a rate schedule for detoxification services, medical costs, and transportation services.

1. Detoxification Service Provided at the New Ulm, Minnesota Detox Facility is \$411 per patient per twenty-four-hour period beginning at time of admission. In cases that Brown County Evaluation Center, Inc. has a Provider of Service Agreement, such as Blue Cross and Health Partners, Brown County Evaluation Center, Inc. will follow insurance company's definition of billable days of service. This will take precedence over Brown County Evaluation Center, Inc. definition.
2. Transportation Services
 - a. Contracted Counties – will receive no additional charge for transportation service. In the event a BCEC driver is not available to transport a detox patient, or the driver is unable to respond within one hour of initial notification, BCEC will reimburse a contracted county, or law enforcement agency of a contracted county, for the services of their driver. BCEC will reimburse counties/city a maximum of \$1.41 per mile after receiving a billing statement from the county/city. Billing statement must contain patient's name and date of transport. If BCEC has already started drivers, BCEC will not reimburse the law enforcement transport.
 - b. In the case of a County Agency-referred patient unable to provide him/herself with transportation back to place of residence or to an agreed upon treatment facility, the Agency will be responsible to pay the cost of transportation. Charges will be \$0.64 per mile plus the IRS allowable rate which is currently \$0.535 per mile round trip. (example: currently \$1.175)
 - c. The contractor will bill the Agency for transportation cost incurred when transportation services are requested and later cancelled. This is not applicable when client has been later transferred by law enforcement.
3. Medical Costs
 - a. LeSueur County – no charge for Condition Specific Protocol (standing orders) medications.
 - b. The Agency agrees to pay for services, mental health assessments, materials, or medical supplies that occur above and beyond the usual and customary in provision of detoxification treatment. This includes, but is not limited to, services, supplies, or medications that are medically necessary for an extremely ill or suicidal patient. Costs incurred by Contractor to obtain medically or legally required medical services will be billed to the Agency.

B. Billing

- a. Insured Eligible Recipient: Contractor shall bill recipient's insurance directly for the per diem cost and retain any amounts collected from recipient's insurance as payment for services. A service fee of 12% of the total per diem cost shall be billed directly to the Agency for any recipient requiring insurance processing. Contractor shall submit any amounts not covered by any insurance provider agreement, including recipient co-pays and deductibles, to the Agency within 30 days of receiving the Explanation of Benefits from recipient's insurance provider or Letter of Non-Payment from Contractor. The Agency understands that the per diem cost represents one hundred percent (100%) of the detoxification services cost and the Agency may seek recapture of monies expended on behalf of Eligible Recipients and keep all monies collected from Eligible Recipients. The Contractor will attempt to provide the Agency with all information needed to recapture fees from Eligible Recipients.
 - i. For purposes of this provision, an "Insured Recipient" is a patient who provides proof of current and payable health insurance to Contractor at the time of admission or any time within 4 calendar days from the date of discharge from the facility.
 - ii. Any recipient who has not provided Contractor with proof of current health insurance within 14 calendar days from the date of discharge will be considered an "Uninsured Recipient" and Agency shall be billed the per diem cost as outlined below.
- b. Uninsured Patients: Contractor shall bill Agency the full amount of the per diem rate. The Agency will receive a discount of \$88 per recipient for all amounts paid within 30 days of receipt of the bill. The Agency understand that the per diem cost represents one hundred percent (100%) of the detoxification services cost and the Agency may seek recapture of the per diem rate from Eligible Recipients and keep all monies collected from Eligible Recipients. The Contractor will attempt to provide the Agency with all information needed to recapture the per diem rate from Eligible Recipients.
- c. All payments made for service under this Agreement shall be subject to audit of recipient eligibility, units of service provided, and revenue received by the Contractor for the detoxification services.

C. Processing Fee

- a. A \$150 processing fee will be assessed to patients whose stay is 24 hours or less. This will be billed to the patient directly. This will not be billed to the "agency" or insurance companies.

6. Agency Access

The Agency or any authorized representative has the right to conduct periodic on-site visits to determine compliance with this Agreement and to evaluate the quality of service provided under this Agreement.

7. Bonding, Indemnity, and Insurance

- A. Bonding. The Contractor shall retain at all times during the terms of this Agreement, a Faithful Performance Blanket position Bond for the amount of \$100,000 covering the activities of its personnel authorized to receive or distribute moneys.

B. Indemnity.

1. The Contractor agrees that it will indemnify and hold harmless the Agency and its officers and employees against any and all liability, loss, damages, costs, and expenses which result from or are caused by any act or omission of the Contractor, its officers, agents, contractors, or employees in the performance of services provided by this Agreement. The Agency agrees that they will indemnify, hold harmless, and defend the loss, damages, costs, and expenses which the Contractor may hereby sustain, incur, or be required to pay to the extent said liability, loss, damages, costs, and expenses which result from or are caused by any act or omission of the Agency its officers, agents, contractors, or employees in the performance of services provided by this Agreement.
2. In the event that any action, suit, or proceeding is brought against the Agency upon any matter herein indemnified against, the Agency shall as soon as practicable cause notice in writing thereof to be given to the Contractor by mail addressed to its post office address.

- C. Insurance. The Contractor does further agree that in order to protect itself as well as the Agency under the Indemnity provision hereinabove set forth, it will at all times during the term of this Agreement keep in force a liability insurance policy with the following minimum amounts: \$2,000,000 for property damage sustained by any one person; and \$2,000,000 for total injuries and/or damages arising from any one incidence or occurrence. The Contractor shall also at all times during the term of this Agreement keep in force professional liability insurance with the following amounts: \$2,000,000 each claim/\$3,000,000 aggregate.

8. Confidentiality

The use of disclosure by any party of information concerning an Eligible Recipient in violation of any rule of confidentiality provided for in state laws, federal laws, or HIPAA regulations, or for any purpose not directly connected with the Agency's Contractor's responsibility with respect to the detox services, hereunder is prohibited except on written consent of such Eligible Recipient, the Eligible Recipient's attorney, or Eligible Recipient's responsible parent or guardian.

Member Counties and Non-Member Counties acknowledges that in receiving, transmitting, transporting, storing, processing, or otherwise dealing with any information received from the Brown County Evaluation Center identifying or otherwise relating to the patients in the Program ("protected information"), it is fully bound by the provisions of the Federal regulations governing the Confidentiality of Alcohol and Drug Abuse Patient Records, 42 CFR, Part 2; and the Health Insurance Portability and Accountability Act (HIPAA), 45 CFR, Parts 142, 160, 162 and 164, and may not use or disclose the information except as permitted or required by this Agreement or by law.

9. Assignment

The services to be performed by the Contractor shall not be assigned, subcontracted, sublet, or transferred without the prior written approval of the Agency.

10. Title IV Compliance

For Federal Civil Rights Compliance for Title IV, the Contractor realizes we have a legal obligation to provide language assistance services to all applicants and patients with Limited English Proficiency free of charge and in a timely manner during all hours of operation.

Dated: _____

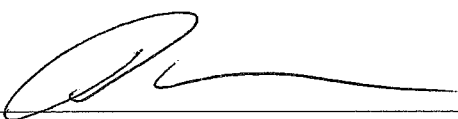
IN WITNESS WHEREOF, THE Agency and the Contractor have executed this Agreement as of the day and year first above written.

APPROVED AS TO FORM:

By: _____
LeSueur County Attorney

By: _____
Director, LeSueur County Human Services

By: _____
Chairperson, LeSueur County Board of
Commissioners

By:  _____
Business Manager, Brown County Evaluation Center

CMHS Services Agreement for 2018

AGREEMENT TO PROVIDE PROFESSIONAL SERVICES BETWEEN

Le Sueur County

(County)

and

TRIMIN SYSTEMS, INC.

This Agreement made by and between Le Sueur County Human Services, hereinafter referred to as the "County" and TriMin Systems Inc., 2277 Highway 36 West, Suite 250, St. Paul, Minnesota, hereinafter referred to as "TriMin". Where the Agreement refers to "User Group", it is understood to mean all counties who are parties to this Agreement.

WITNESSETH

WHEREAS, the County wishes to retain professional services to obtain computer programming and technical assistance for the maintenance and support of computer systems now in use by the County and a number of other counties; and

WHEREAS, the County has undertaken to retain professional services as described above as a member of a group of Minnesota county welfare and human services agencies and other entities, sometimes known as Computer Management for Human Services (CMHS); and

WHEREAS, TriMin has and will be expected to render support services hereunder.

NOW, THEREFORE, in consideration of the mutual promises and agreements contained herein, and for other good and valuable consideration, the parties agree as follows:

I. Systems to be supported.

TriMin agrees to provide computer programming, technical assistance, and related services to support and maintain the systems and systems components including, but not limited to:

- A. Agency Collection System (ACS)
- B. Social Welfare System (SWS)

II. Support Definition.

- A. Support: TriMin will provide application support for County via telephone, email and quarterly CMHS meetings. Support includes the following aspects:
1. Consultation and problem assistance
 2. New staff orientation/overview training (remotely)
 3. Bug Fixes
 4. Mandated Modifications, per II-C below
 5. Invoicing of charges to the County
- B. Special Projects: "Special Projects" are those projects which the User Group may authorize from time to time above the fixed annual amount for Support. A Special Project shall be initiated upon receipt of written notification from the CMHS Executive Board.
- C. Mandated Systems Modifications: "Mandated Systems Modifications" are those systems modifications necessitated by mandates or service program changes imposed by federal or state laws, rules, or regulations. TriMin agrees that Mandated Systems Modifications shall be undertaken without delay and with the understanding that, with respect to completion of the modifications, time is of the essence. Mandated Systems Modifications shall take precedence over any other project or maintenance service being performed pursuant to this Agreement.

Mandated Systems Modifications services shall be included in the scope of this support agreement, provided that the estimated hours for any particular mandated modification is less than or equal to 40 hours of effort.

In the event that a Mandated Systems Modification effort is deemed to be greater than 40 hours the Mandated Systems Modifications services shall be approved by the CMHS Executive Board and funded by Counties participating in the Annual Support for a given application (i.e. ACS or SWS).

Mandated Systems Modifications shall be subject to the cost allocation billing rates and special conditions set forth in this Section and in Sections III. and IV. below.

Mandated Systems Modifications shall be initiated upon receipt of authorization from the CMHS Executive Board.

- D. Direct Support: "Direct Support" is that assistance provided to the County or to a group of counties at its/their request and is not Shared Support. Direct Support includes, but is not limited to, start-up services for the County, special seminars or training or modifications for a county or counties not requested by the User Group as a whole.

III. Allocation of Charges and Costs

- A. Charges and costs for Support, Special Projects, and Mandated Systems Modifications, as defined in Section II-A, B, and C above, shall be billed to the County.
- B. Charges and costs for Direct Support, as defined in Section II-D, above, shall be chargeable to the County requesting such services, and TriMin shall bill the County for Direct Support. Direct support charges and costs shall be itemized according to type of services.

IV. Billings of Charges and Costs for Counties that submit signed agreement by December 15, 2017

A. TriMin shall bill the County the charges and costs for Support services, as defined in Section II, above, at a flat rate as set forth below, and per the system(s) used and selected below by the county (per "x" in square(s) below):

Annual Support for ACS, paid as one-time charge (one billing): \$1,200

Annual Support for SWS, paid as one-time charge (one billing): \$1,200

B. TriMin shall bill the County the charges and costs for Special Projects as defined in Section II-B, above, at the hourly rates, set forth in Section IV-D, below. Such billing shall identify the system being supported.

C. Invoices pursuant to Section IV-A and IV-B above, shall be billed no more than 30 days in advance to the County, annually for charges in section IV-A above, and on a quarterly basis for charges related to Section IV-B (if any), and shall be paid by the county within forty-five (45) days of the date of the invoice.

D. The hourly rates charged by TriMin during the duration of this Agreement shall be the following:

\$160 per hour

E. Non-payment and remedies of TriMin: In the event that the County does not pay TriMin, within forty-five (45) days of the date of the invoice, the amount due pursuant to the Annual invoice, TriMin shall have the option to terminate its obligation to render further services to the County upon fourteen (14) days written notice thereof.

V. Allowance for Cost of Additional Services

Special Projects and Mandated Systems Modifications, as defined in Sections II-B and II-C, above, may only be billed to County if approved by CMHS Executive Board prior to commencement of services being performed on County's behalf. The actual expenditure of this allowance is only authorized as defined in Sections II-B and II-C above.

VI. Warranties of the Parties

A. TriMin represents and warrants as follows:

1. TriMin represents and warrants that any modifications, enhancements, or related products furnished pursuant to Section I above are designed to and will meet the functional and performance specifications and standards to be agreed upon by the parties.
2. TriMin represents and warrants that the modifications or enhancements and related products are, or shall be when completed and delivered hereunder, original work products of TriMin and that neither the modifications, enhancements, and related products nor any of their elements nor the use thereof shall violate or infringe upon any patent, copyrights, or trade secret.

B. The County represents and warrants as follows:

1. The County represents, warrants, and covenants that it will provide the cooperation and assistance of its personnel, as reasonably required, and as would be necessary for the completion of TriMin's services hereunder, to the extent that the services are being rendered for the County and for the County activity or system involved.
2. The County represents and warrants that it will make prompt and full disclosure to TriMin of any information regarding the government requirements and regulations related to the government program and that the system services.

VII. Other Conditions

- A. Entire Agreement; Requirement of a Writing: Except where negotiations are otherwise authorized in the Agreement, it is understood and agreed that the entire agreement of the parties is contained herein, and that this Agreement supersedes all oral agreements and negotiations between the parties relating to the subject matter hereof, as well as any previous Agreement presently in effect between the parties relating to the subject matter hereof.

Any alterations, amendments, deletions, or waivers of the provisions of this Agreement shall be valid only when expressed in writing and duly signed by the authorized representatives of the parties.

- B. Non-Assignment: TriMin shall not assign any interest in the Agreement without the prior written consent of the County thereto, provided, however, that claims for money due or to become due to TriMin from the County under this Agreement may be assigned to a bank, trust company, or other financial institutions without such approval.
- C. Conflicts of Interest. TriMin covenants that it presently has no interest and shall not acquire any interest, direct or indirect, which would conflict in any manner or degree with the performance under this Agreement. TriMin further covenants that in the performance of this Agreement, no persons having any such conflicting interest shall be employed.
- D. Subcontracting. None of the work or services covered by this Agreement, and properly authorized by the User Group, shall be subcontracted without prior written approval of the CMHS Executive Board.

Said written consent shall not be unreasonably withheld in the event that TriMin shall reasonably request the authority to delegate or subcontract or consult regarding services to be provided hereunder and shall do so in writing except in the event of emergency, and shall request such authority only as to qualified personnel or entities, all of which shall be without any release of the responsibility of TriMin hereunder to the County for the services provided.

- E. Expenses Incurred: No Payment shall be made under this Agreement for any expenses incurred in a manner contrary to any provision contained herein or in a manner inconsistent with any federal, state, or local law, rule, or regulations.
- F. Independent Contractor: For the purpose of this Agreement, TriMin shall be deemed an independent contractor, and not an employee of the County or the User Group. Any and all employees, members, or associates of TriMin or other persons, while engaged in the work or services required to be performed by TriMin under this Agreement, shall not be considered employees of the County or the User Group; and any and all claims that may or might arise on behalf of said employees or other persons as a consequence of any act or omission on the part of said employees or TriMin, shall in no way be the obligation or responsibility of the County or the User Group.
- G. Liability: In recognition of the fact that the software covered by this agreement is not owned by TriMin, and that TriMin has no control of the use of the software by the County, TriMin's liability in performance of this Agreement shall be satisfied by its maintaining in full force and effect professional liability insurance as set forth in Section VII-I-4, below. In no event shall TriMin be liable for any consequential, indirect, special, punitive or incidental damages, whether foreseeable or unforeseeable. The limitations of damages does not apply to indemnification claims or data practice violations.
- H. Disclaimer of Warranties: Except as expressly provided in this Agreement, there are no warranties, express or implied, including but not limited to implied warranties of merchantability or fitness for a particular purpose.
- I. Indemnification: Each party shall be liable for its own acts to the extent provided by law and hereby agrees to indemnify, hold harmless and defend the other, its officers and employees against any and all liability, loss, costs, damages, expenses, claims or actions, including attorney's fees which the other, its officers and employees may hereafter sustain, incur or be the party, its agents, servants or employees, in the execution or performance or failure to adequately perform its obligations pursuant to this Agreement.

- J. Insurance: TriMin, for the benefit of itself, the County, and the User Group, at all times during the term of this Agreement, shall maintain and keep in full force and effect the following.
1. A single limit, combined limit, or excess umbrella automobile liability insurance policy, if applicable, covering agency-owned, non-owned and hired vehicles used regularly in provision of services under this Agreement, in an amount of not less than one million dollars (\$1,000,000) per accident for combined single limit.
 2. A single limit or combined limit or excess umbrella general liability insurance policy of an amount of not less than two million dollars (\$2,000,000) for property damage arising from one (1) occurrences, two million dollars (\$2,000,000) for total bodily injury including death and/or damages arising from one (1) occurrence, and two million dollars (\$2,000,000) for total personal injury and/or damages arising from one (1) occurrence. Such policy shall also include contractual liability coverage.
 3. Statutory Workers' Compensation Insurance
 4. Professional liability (errors and omissions) insurance in an amount of not less than one million five hundred thousand and no/100th dollars (\$1,500,000.00).
 5. TriMin will provide the CMHS Chairperson with certificates of insurance as requested and provide that the insurance carrier will notify the CMHS Chairperson in writing at least thirty (30) days prior to any reduction, cancellation, or material alteration in TriMin's insurance coverage.
- K. Local Alterations: For each of the systems supported under this contract, the maintained by TriMin shall be designated the "Base System". The parties to Agreement agree to accept the base system and modifications to the base system as approved by the CMHS Executive Board. TriMin shall not be liable for claims arising from local alterations. The term "Local Alterations" shall include, but not be limited to, any software modification, and any modification to system operations contrary to those specified in the system documentation.
- L. Data Practices: All data collected, created, received, maintained, disseminated or used for any purposes in the course of TriMin's performance of this Agreement is governed by the Minnesota Government Data Practices Act, Minn. Stat. Chapter 13, and any other applicable state statutes and rules adopted to implement the Act as well as other state and federal laws on data privacy. TriMin agrees to abide by these statutes, rules and regulations currently in effect and as they may be amended. TriMin designates Joe McNiff, as its "responsible authority" pursuant to the Minnesota Government Data Practices Act for purposes of this Agreement, the individual responsible for the collection, reception, maintenance, dissemination, and use of any data on individuals and other government data including summary data.
- M. Force Majeure: TriMin shall not be held responsible for delay or failure to perform when such delay or failure is due to any of the following uncontrollable circumstances: fire, flood, epidemic, strikes, wars, acts of God, unusually severe weather, acts of public authorities, or delays or defaults caused by public carriers.
- N. Severability: The provisions of this Agreement are severable. If any paragraph, section, subdivision, sentence, clause, or other phrase of this Agreement is, for any reason, held to be contrary to the law or contrary to any rule or regulation having the force and effect of law, such decision shall not affect the remaining provisions of this Agreement.
- O. Governing Laws: The laws of the State of Minnesota shall govern as to the interpretation, validity, and effect of this Agreement.
- P. Non-Discrimination: In carrying out the terms of this Agreement, TriMin shall not discriminate against any employee, applicant for employment, or other person, supplier, or contractor, because of race, color, religion, sex, sexual orientation, marital status, national origin, disability, or public assistance.
- Q. Applicability of Uniform Commercial Code: Except to the extent the provisions of this Agreement are clearly inconsistent therewith, this Agreement shall be governed by the applicable provisions of the Uniform Commercial Code. To the extent this Agreement entails delivery or performance of services, such services shall be deemed "goods" within the meaning of the Uniform Commercial Code, except when deeming such services as "goods" would result in a clearly unreasonable interpretation.

- R. Whereas Clauses: The matters set forth in the "Whereas" clauses on page (1) hereof are incorporated into and made a part of this Agreement.
- S. Paragraph Headings: The paragraph and subparagraph headings used in this Agreement are for reference purposes only and shall not be deemed to be a part of this Agreement.
- T. Pursuant to Minn. Stat. §16C.05, Subd.5, the Contractor agrees that the County, the State Auditor, or any of their duly authorized representatives at any time during normal business hours and as often as they may reasonably deem necessary, shall have access to and the right to examine, audit, excerpt, and transcribe any books, documents, papers, records, etc., which are pertinent to the accounting practices and procedures of the Contractor and involve transactions relating to this Agreement. Contractor agrees to maintain these records for a period of six years from the date of termination of this Agreement.
- U. Liability of the County shall be governed by the provisions of Minnesota Statutes, Chapter 466 (Tort Liability, Political Subdivisions) and other applicable law. This Agreement shall not constitute a waiver by the County of limitations on liability provided by Minnesota Statutes, Chapter 466 or other applicable laws.

V. Duration

The duration of this Agreement shall be January 1, 2018, to December 31, 2018, inclusive.

W. Cancellation

This Agreement is binding for the duration of the agreement (1 year) and may not be canceled by the County or by TriMin within the contract period.

IN WITNESS WHEREOF, the parties have caused this Agreement to be executed intending to be bound thereby.

Accepted and Agreed for:

Accepted and Agreed for:

County: Le Sueur

TriMin Systems, Inc.

Signed By: _____

Signed By: _____

Name: _____

Name: Joe McNiff

Title: _____

Title: Vice President

Date: _____

Date: _____

MASTER CONTRACT AGREEMENT #: CY & SFY 2018 LeSueur County	CFDA #: 93.558 MFIP
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AGREEMENT

This Agreement is made and entered into by and between LeSueur County Human Services, hereinafter referred to as the "COUNTY", and

Minnesota Valley Action Council, Inc.
706 N. Victory Drive
Mankato, MN 56001

Social Security or Federal Identification Number: **JX41-6050353**
Minnesota State Tax Identification Number: **9465358**

hereinafter referred to as the "PROVIDER".

WITNESSETH

WHEREAS, this Agreement is issued in anticipation of receipt of funds from the Minnesota Department of Human Services (DHS) for the purpose of providing services authorized under the:

Personal Responsibility and Work Reconciliation Act of 1998, Public Law 104-1931, Welfare Reform Bill signed April 30, 1997 (TANF/MFIP), and

WHEREAS, the PROVIDER represents itself to the COUNTY as qualified to provide the services herein agreed to, and

WHEREAS, the COUNTY is desirous of entering into an Agreement with the PROVIDER for the provision of said services, and

WHEREAS, the release of funding under this Agreement to the PROVIDER is subject to actual receipt of appropriated funds from aforementioned sources that supports the provision of employment services for individuals receiving public assistance. Funds shall be released by the COUNTY to the PROVIDER through the Notice of Funds Available (NFA) contracting method described within, and

WHEREAS, the PROVIDER is familiar with the local Consolidated Plan (biennial service agreement) and DHS Bulletins applicable to the implementation of employment services required under this Agreement and has represented to the COUNTY that it is qualified to effectively deliver said services.

NOW, THEREFORE, in consideration of the premises, and the mutual covenants and obligations herein contained, and subject to the terms and conditions hereinafter stated, the parties hereto understand and agree as follows:

- I. Program: The foregoing recitals are made a part of this Agreement by reference. The PROVIDER shall implement the Conditions hereto attached as Exhibit A, and incorporated by reference as a part of this Agreement.
- II. Duties and Payment: No costs are eligible for reimbursement under this Agreement without a valid issued Notice of Funds Available (NFA) signed by the COUNTY and the PROVIDER.

The PROVIDER shall perform all the services described in Exhibit A and shall be reimbursed by the COUNTY for expenses up to, but not to exceed, the amount certified on the NFA.

Funds available under the Agreement are available for the period(s) indicated on the Notice of Funds Available (NFA) by program, which may be for a shorter period than indicated in the Term of Agreement below.

If any additional conditions are required based on funding sources, the appropriate conditions shall be attached to or be a part of the relevant Notice of Funds Available (NFA). Additional conditions may be work plans and budgets for new or modified activity under the Agreement. The additional conditions become part of this Agreement.

The COUNTY shall make reimbursement to the PROVIDER for program expenditures upon receipt of a monthly itemized invoice specifying the costs incurred during the previous month. Such monthly invoices shall be due and payable at intervals specified on the invoice, except that the COUNTY shall not reimburse for any costs incurred which are not in accordance with the provisions of the NFA, Exhibit A and all applicable federal, State and COUNTY regulations and policies. Payment shall adhere to the payment schedule specified in the NFA. MFIP is on a cash basis; reporting only expenditures actually paid during the quarter per DHS Bulletin 14-32-09. It is understood and agreed that in the event funding to the COUNTY is not continued at a level sufficient to allow for provision of services identified in this Agreement, the obligations of each party shall be canceled. Any cancellation of this Agreement shall be without prejudice to any obligations or liabilities of the parties already accrued prior to such cancellation.

- III. Term of Agreement: This Agreement shall be effective on **January 1, 2018**, and shall remain in effect until **December 31, 2018**, or until all obligations set forth in this Agreement have been satisfactorily fulfilled, whichever occurs first.
- IV. Termination: If, at any time, funds in support of this Agreement become unavailable, this Agreement shall be terminated immediately upon written notice of such fact by the COUNTY to the PROVIDER. In the event of such termination, the PROVIDER shall be entitled to payment, determined on a pro rata basis, for services satisfactorily performed.
 - a. Termination without Cause: Either party to this Agreement may terminate this agreement without cause. The party will give a 30-calendar day advance notice, in writing, of the effective date of the termination. The PROVIDER shall be entitled to receive compensation for any services satisfactorily performed hereunder through the date of the termination, in accordance with and subject to the provisions of this Agreement.

- b. Termination for Cause: The COUNTY shall terminate the Agreement when it is determined the PROVIDER has failed to provide any of the services specified or has failed to comply with any of the provisions contained in this Agreement. If the PROVIDER fails to perform in whole or in part under this Agreement, or fails to make sufficient progress so as to endanger performance, the COUNTY will notify the PROVIDER of such unsatisfactory performance in writing. The PROVIDER will have ten (10) working days in which to respond with a plan to correct the deficiencies agreeable to the COUNTY. If the PROVIDER does not respond to the COUNTY with an appropriate corrective action plan, the COUNTY will notify the PROVIDER of immediate termination of the Agreement. In the event of such termination, the COUNTY shall be liable for payment only for services rendered prior to the effective date of the termination, provided that such services performed are in accordance with the provisions of the Agreement.

V. Disputes:

- a. The PROVIDER agrees to attempt to resolve disputes arising from the Agreement by administrative process and negotiation in lieu of litigation. Continued performance during disputes is assured.
- b. Any dispute concerning a question of fact arising under this Agreement which is not settled by informal means shall be decided by the COUNTY'S authorized representative, who shall furnish the PROVIDER with a written decision.
- c. The PROVIDER will be allowed the opportunity to offer evidence and be heard in appeal of the COUNTY'S decision. Pending final decision, the PROVIDER shall proceed in performance of this Agreement in accordance with the COUNTY'S initial decision.
- d. This DISPUTES clause does not preclude consideration of law questions in connection with decisions provided above provided that nothing in this Agreement shall be construed as making final the decision of any administrative official, representative, or board on a question of law.

VI. Grievance Procedure: The PROVIDER will follow the grievance procedure established by the COUNTY and the MN Department of Human Services to resolve issues between the PROVIDER and program participants.

VII. Records and Reports:

- a. The PROVIDER will maintain records, books, documents and other evidence and accounting procedures and practices which sufficiently and properly reflect all direct and indirect costs and activities of any nature supported by funds under this Agreement. Such records, including participant information, shall be maintained for seven years after the submission of the final report by the PROVIDER, or the COUNTY makes the final payment, whichever is later, for audit purposes. Such records will be considered the property of the COUNTY.
- b. The PROVIDER agrees that authorized representatives of the COUNTY, State and federal agencies will, during regular business hours and as often as such authorized representatives deem necessary, have access to and the right to examine, audit, excerpt and transcribe any books, documents, papers, records, which are pertinent and involve transactions relating to this Agreement.

- c. The PROVIDER further agrees to submit in a timely fashion all program reports and corrective actions as may be required by program regulations and COUNTY policies or as a result of monitoring activities.
- d. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the seven-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular seven-year period, whichever is later.

VIII. Liability:

- a. Bonding: The PROVIDER shall obtain and maintain, at all times during the term of this Agreement, a fidelity bond in an amount not less than \$100,000, covering the activities of all persons authorized to receive or distribute monies.
- b. Indemnity: The PROVIDER agrees to defend, indemnify and hold the COUNTY, its officers and employees harmless from any liability, claims, damages, costs, judgments or expenses, including attorney's fees, resulting directly or indirectly from an act or omission of the PROVIDER, its agents, employees or contractors in the performance of the services provided by this Agreement and against all loss by reason of the failure of the PROVIDER to perform, in any respect, all obligations under this Agreement.
- c. Insurance: The PROVIDER further agrees that it will at all times during the term of this Agreement, have and keep in force:
 - 1. A single limit or combined limit or excess umbrella general liability insurance policy of an amount not less than \$1,500,000 for total bodily injuries, death, personal injuries or property damage arising from one occurrence with an annual aggregate limit of not less than \$1,500,000. Such policy shall also include contractual liability coverage protecting the COUNTY, its officers, agents and employees by specific endorsement acknowledging the Agreement between the PROVIDER and the COUNTY.
 - 2. A single limit or combined limit or excess umbrella automobile liability insurance policy, if applicable, in an amount not less than \$1,500,000 per accident for property damage, \$1,500,000 for bodily injury and/or damages to any one person, and \$1,500,000 for total bodily injuries and/or damages arising from any one accident.
 - 3. Any policy obtained and maintained under this clause shall provide that it shall not be canceled, materially changed, or not renewed without thirty (30) days' prior notice thereof to the COUNTY.
- d. The PROVIDER will furnish the COUNTY certificates of bonding and insurance.
- e. The COUNTY may withhold payment for failure of the PROVIDER to furnish certificates of bonding and insurance as required.
- f. In the event that claims or lawsuits shall arise jointly against the PROVIDER and the COUNTY, and the COUNTY elects to present its own defense using its own counsel, in addition to or as opposed to legal representation available by the insurance carrier providing general liability coverage in c.1. and/or automobile liability in c.2. above, then such legal expense shall be borne by the COUNTY.

IX. Independent Contractor: It is agreed by both parties that at all times and for all purposes within the scope of this Agreement the relationship of the PROVIDER to the COUNTY is that of an independent contractor.

X. Special Administrative Provisions: The PROVIDER agrees to administer the program in accordance with authorizing legislation, as amended, and the regulations and guidelines promulgated there under. The PROVIDER also agrees to comply with other applicable Federal and State laws. In the event that these laws, regulations or policies are amended at any time during the term of this Agreement, the PROVIDER shall comply with such amended laws, regulations or guidelines.

- a. **Audits**: The PROVIDER agrees to have an annual audit in accordance with Office of Management and Budget (OMB) Uniform Guidance: Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (referred to as Omni Circular or Super Circular) 2 CFR Chapter I and II, Parts 200, 215, 220, 225, 230 as it applies to the PROVIDER. The COUNTY agrees to submit to the PROVIDER, prior to the audit activity, a report that specifies the amount of federal and state funds, which comprise the total payments made to the PROVIDER.

A copy of the audit shall be provided to the COUNTY upon its completion, but in no event later than 12 months after the end of the PROVIDER'S fiscal year.

- b. **Program Standards**: The PROVIDER agrees to comply with OMB Uniform Guidance: Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (referred to as Omni Circular or Super Circular) 2 CFR Chapter I and II, Parts 200, 215, 220, 225 and 230, as these circulars relate to its particular agency in the utilization of funds, the operation of programs and the maintenance of records, books, accounts and other documents under the authorizing legislation, as amended.

The PROVIDER also agrees to comply with the sections of the Code of Federal Regulations relevant to the program(s) covered under this Agreement, as well as all State Instructional Bulletins and policies, as amended. The COUNTY agrees to give the PROVIDER copies of the applicable circulars, laws and regulations under which these funds are granted.

- c. **Non-Discrimination Statement**: The PROVIDER assures it will comply fully with the non-discrimination and equal opportunity provisions of the following laws prohibiting discrimination, including but not limited to:
 - i. Title VI of the Civil Rights Act of 1964, 42 USC §2000d et seq., as amended, and all requirements imposed by or pursuant to the regulation at 7 CFR Part 15, Subpart A and Subpart C. In accordance with Title VI and the Regulation, no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity for which the Contractor/Vendor receives Federal financial assistance.
 - ii. Section 504 of the Rehabilitation Act of 1973, 29 USC § 794, as amended, and all requirements imposed by or pursuant to the regulation at 7 CFR Part 15b. In accordance with Section 504 of that Act and the Regulation, no otherwise qualified individual with a disability in the United States shall, solely by reason of her/his disability, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity for which the Contractor/Vendor receives Federal financial assistance.

- iii. Title IX of the Education Amendments of 1972, 20 USC § 1681 et seq., as amended, and all requirements imposed by or pursuant to the regulation at 7 CFR Part 15a. In accordance with Title IX of that Act and the Regulation, no person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any education program or activity for which the Contractor/Vendor receives Federal financial assistance.
- iv. Age Discrimination Act of 1975, 42 USC §§ 6101-6107, as amended and all requirements imposed by or pursuant to the regulation at 45 CFR Part 91. In accordance with the Age Discrimination Act and the Regulation, no person in the United States shall, on the basis of age, be denied the benefits of, be excluded from participation in, or be subjected to discrimination under any program or activity for which the Contractor/Vendor receives Federal financial assistance.
- v. The Americans with Disabilities Act of 1990 (42 USC 12101), as amended, which prohibits discrimination on the basis of physical, sensory, or mental disability or impairment and the ADA Amendments Act of 2008.
- vi. Current version of USDA's FNS Instruction 113-1, Civil Rights Compliance and Enforcement – Nutrition Programs and Activities, Food and Nutrition Service, issued November 8, 2005. The purpose of Instruction 113-1 is to establish and convey policy and provide guidance and direction to the USDA Food and Nutrition Service (FNS) and its recipients and customers and ensure compliance with and enforcement of the prohibition against discrimination in all FNS nutrition programs and activities, whether federally funded in whole or not. FNS Instruction 113-1 incorporates the above Federal legal authorities.
- vii. Minnesota Human Rights Act found at Minnesota Statutes, Chapter 363A, specifically § 363A.11, Public Accommodations and § 363A.12, Public Services. In Minnesota, it is an unfair discriminatory practice to deny any person the full and equal enjoyment of the goods, services, facilities, privileges, advantages, and accommodations of a place of public accommodation because of race, color, creed, religion, disability, national origin, marital status, sexual orientation, or sex. Additionally, it is an unfair discriminatory practice to discriminate against any person in the access to, admission to, full utilization of or benefit from any public service because of race, color, creed, religion, national origin, disability, sex, sexual orientation, or status with regard to public assistance.

XI. Voter Registration: The PROVIDER shall provide non-partisan voter registration services and assistance using forms provided by the Secretary of State, to employees of the PROVIDER, program participants and the public as required by Minnesota Statutes, Section 201.162.

XII. Assignment: The PROVIDER shall neither assign nor transfer any rights or obligations under this Agreement without prior written consent of the COUNTY. The provisions of this Agreement applicable to the PROVIDER shall also be applicable to subgrants made by the PROVIDER from funds obtained under this Agreement.

XIII. Modifications: Any modifications to this Agreement shall be in writing and shall be executed by the same parties who executed the original Agreement, or their successors in office.

XIV. Debarment and Suspension Certification: The PROVIDER agrees to follow the President's Executive Order 12549 and the implementing regulation "Nonprocurement Debarment and Suspension; Notice and Final Rule and Interim Final Rule," found in 53 FR 19189, May 26, 1988,

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as amended at 60 FR 33041, June 26, 1995, including Appendix B, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions"; unless excluded by law or regulation.

XV. Lobbying Certification and Disclosure: (If applicable) The PROVIDER shall comply with Interim Final Rule, New Restrictions on Lobbying, found in Federal Register Vol. 55, No. 38, February 26, 1990, and any permanent rules that are adopted in place of the Interim Rule. The Interim Final Rule requires the PROVIDER to certify as to their lobbying activity. The Interim Final Rule implements section 319 of Public Law 101-121, which generally prohibits recipients of Federal contracts, grants and loans from using appropriated funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a specific contract, grant or loan.

XVI. Maintenance of Effort: The PROVIDER agrees that the level of services, activities and expenditures it has devoted to similar services prior to the initiation of this Agreement will be continued and not reduced in any way as a result of this Agreement except for reductions unrelated to the provisions or purposes herein stated.

XVII. Conflict of Interest: The PROVIDER assures that no person under its employ, who presently exercises any administrative responsibilities under this program, has any personal, financial interest, direct or indirect, in this Agreement. Further, no person having such a conflicting interest shall be employed under this Agreement. Any such conflict of interest must be disclosed in writing to the COUNTY.

XVIII. Code of Conduct: The PROVIDER assures proper conduct on the part of its employees and understands the effects of U.S. Code, Title 18, Sec. 665.

XIX. Grant Close-out: No costs are to be incurred under this Agreement after December 31, 2018. Within 45 days of the completion of the Agreement, the PROVIDER shall comply with all closeout or auditing procedures established by the COUNTY.

XX. Property: Any purchase of non-expendable personal property that has a useful life of more than one year with a per unit cost of \$5,000 or more must have prior written approval of the COUNTY. The PROVIDER will obtain advance written approval from the COUNTY for purchase of property with a unit cost of \$5,000 or more.

XXI. Data Practices Act: For the purposes of executing its responsibilities and to the extent set forth in this Agreement, the PROVIDER shall be considered part of the welfare system as defined in Minnesota Statutes, section 13.46, subdivision 1. The PROVIDER'S employees and agents shall have access to private or confidential data maintained by the COUNTY to the extent necessary to carry out its responsibilities under this Agreement. The PROVIDER agrees to comply with all the requirements of the Minnesota Government Data Practices Act and HIPAA in providing services under this Agreement. The civil remedies of Minnesota Statutes, section 13.08, apply to the release of the data referred to in this Article by either the PROVIDER or COUNTY.

The PROVIDER agrees to indemnify and save and hold the COUNTY, its agents and employees, harmless from all claims arising out of, resulting from, or in any manner attributable to any violation of any provision of the Minnesota Government Data Practices Act, including legal fees and disbursements paid or incurred to enforce the provision of this contract.

XXII SCOPE OF SERVICES: The PROVIDER shall provide all services in accordance with all applicable federal and state laws, statutes, regulations, and guidelines. These include the federal Personal Responsibility and Work Opportunity Reconciliation Act of 1996, the Deficit Reduction Act of 2005, and Minnesota Statutes Chapter 256J. In the event that these laws, statutes, regulations or guidelines are amended at any time during the Term of Agreement, or any extensions or renewals, the PROVIDER shall comply with such amended laws, statutes, regulations, or guidelines.

The PROVIDER is responsible for all technical assistance necessary to maintain all software and hardware used to provide the purchased services under this Agreement, including virus protection and firewalls.

The PROVIDER will designate one staff as an Employment Services security liaison who will coordinate with the COUNTY MAXIS security liaison to request approval or termination of inquiry access to the MAXIS system ("MAXIS").

The PROVIDER will designate up to two staff in each service location as Data Specialists that will have inquiry access to MAXIS.

The PROVIDER will ensure all staff with inquiry access to MAXIS complete annual HIPAA training, and any other necessary training identified by the COUNTY.

Information Privacy and Security.

Information Covered by this Provision. In carrying out its duties, the PROVIDER will be handling one or more types of private information, collectively referred to as "protected information," concerning individual DHS clients. "Protected information," for purposes of this Agreement, may include any or all of the following:

- Private data (as defined in Minnesota Statutes § 13.02, subd. 12), confidential data (as defined in Minn. Stat. § 13.02, subd. 3), welfare data (as governed by Minn. Stat. § 13.46), medical data (as governed by Minn. Stat. § 13.384), and other non-public data governed by other sections in the Minnesota Government Data Practices Act (MGDPA), Minn. Stats. Chapter 13;
- Health records (as governed by the Minnesota Health Records Act [Minn. Stat. §§ 144.291-144.298]);
- Chemical health records (as governed by 42 U.S.C. § 290dd-2 and 42 C.F.R. § 2.1 to § 2.67);
- Protected health information ("PHI") (as defined in and governed by the Health Insurance Portability Accountability Act ["HIPAA"], 45 C.F.R. § 160.103);
- Electronic Health Records (as governed by Health Information Technology for Economic and Clinical Health Act (HITECH), 42 USC 201 note, 42 USC 17921(5)); and
- Other data subject to applicable state and federal statutes, rules, and regulations affecting the collection, storage, use, or dissemination of private or confidential information.

Duties Relating to Protection of Information.

- (a) Duty to ensure proper handling of information. The PROVIDER shall be responsible for ensuring proper handling and safeguarding by its employees, subcontractors, and authorized agents of protected information collected, created, used, maintained, or disclosed on behalf of DHS. This responsibility includes ensuring that employees and agents comply with and are properly trained regarding, as applicable, the laws listed above in paragraph X.X.I.I.
- (b) Minimum necessary access to information. The PROVIDER shall comply with the "minimum necessary" access and disclosure rule set forth in the HIPAA and the MGDPA. The collection, creation, use, maintenance, and disclosure of protected information shall be limited to "that necessary for the administration and management of programs specifically authorized by the legislature or local governing body or mandated by the federal government." See, respectively, 45 C.F.R. §§ 164.502(b) and 164.514(d), and Minn. Stat. § 13.05 subd. 3.
- (c) Information Requests. Unless provided for otherwise in this Agreement, if the PROVIDER receives a request to release the information referred to in this Clause, the PROVIDER must immediately notify DHS. DHS will give the PROVIDER instructions concerning the release of the data to the requesting party before the data is released.

Use of Information. The PROVIDER shall:

- Not use or further disclose protected information created, collected, received, stored, used, maintained, or disseminated in the course or performance of this Agreement other than as permitted or required by this Agreement or as required by law, either during the period of this Agreement or hereafter.
 - Use appropriate safeguards, and comply with Subpart C of 45 CFR Part 164 with respect to electronic protected health information, to prevent use or disclosure of the protected information by its employees, subcontractors and agents other than as provided for by this Agreement. This includes, but is not limited to, having implemented administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of any electronic protected health information at rest and in transit that it creates, receives, maintains, or transmits on behalf of DHS.
- (a) Report to DHS any privacy or security incident regarding the information of which it becomes aware, including breaches of unsecured protected health information as required at 45 CFR 164.410. For purposes of this Agreement, "Security incident" means the attempted or successful unauthorized access, use, disclosure, modification, or destruction of information or interference with system operations in an information system. "Privacy incident" means violation of the Minnesota Government Data Practices Act (MGDPA) and/or the HIPAA Privacy Rule (45 C.F.R. Part 164, Subpart E), including, but not limited to, improper and/or unauthorized use or disclosure of protected information, and incidents in which the confidentiality of the information maintained by it has been breached. This report must be in writing and sent to DHS not more than 7 days after learning of such non-permitted use or disclosure. Such a report will at least: (1) Identify the nature of the non-permitted use or disclosure; (2) Identify the PHI used or disclosed; (3) Identify who made the non-permitted use or disclosure and who received the non-permitted or violating disclosure; (4) Identify what corrective action was taken or will be taken to prevent further non-permitted uses or disclosures; (5) Identify what was done or will be done to mitigate any deleterious effect of the non-permitted use or disclosure; and (6) Provide such other information, including any written documentation, as DHS may reasonably request.

- (b) Consistent with this Agreement, and in accordance with 45 CFR 164.502(e)(1)(ii) and 164.308(b)(2), ensure that any agents (including contractors and subcontractors), analysts, and others that create, receive, maintain, or transmit protected health information on behalf of the business associate, enter into a business associate agreement with any subcontractors to agree in writing to be bound by the same restrictions, conditions, and requirements that apply to it with respect to such information.
- Document such disclosures of PHI and information related to such disclosures as would be required for DHS to respond to a request by an individual for an accounting of disclosures of PHI in accordance with 45 C.F.R. § 164.528.
 - Mitigate, to the extent practicable, any harmful effects known to it of a use, disclosure, or breach of security with respect to protected information by it in violation of this Agreement.
 - In accordance with HIPAA, upon obtaining knowledge of a breach or violation by a subcontractor, take appropriate steps to cure the breach or end the violation, and if such steps are unsuccessful, terminate the agreement.
 - Not use or disclose PHI in a manner that would violate Subpart E of 45 C.F.R. Part 164 if done by DHS.

Additional Business Associate Duties. To the extent the PROVIDER handles PHI in order to provide health care-related administrative services on behalf of DHS and is a "Business Associate" of DHS as defined by HIPAA, the PROVIDER further agrees to:

- (a) Make available PHI in accordance with 45 C.F.R. § 164.524.
- (b) Make available PHI for amendment and incorporate any amendments to PHI in accordance with 45 C.F.R. § 164.526.
- (c) Comply with the limited disclosure rules set forth in the HITECH Act, HIPAA, and the MGDPA. To the extent possible, disclosures should be in a limited data set, which is largely information with the patients' identifying information removed, "to the extent practicable." Pertinent identifiers include, name and social security number; street address, e-mail address, telephone and fax numbers; certificate/license numbers; vehicle identifiers and serial numbers; URLs and IP addresses; full face photos and any other comparable images; or medical record numbers, health plan beneficiary numbers, and other account numbers. If a limited data set is not feasible, or does not meet the use or disclosure, minimum necessary should be applied. The collection, creation, use, maintenance, and disclosure of protected information shall be limited to "that necessary for the administration and management of programs specifically authorized by the legislature or local governing body or mandated by the federal government." See, respectively, 45 C.F.R. §§ 164.514, 45 C.F.R. §§ 164.502(b) and 164.514(d), and Minn. Stat. § 13.05 subd. 3.
- (d) Make its internal practices, books, records, policies, procedures, and documentation relating to the use, disclosure, and/or security of PHI available to DHS and/or the Secretary of the United States Department of Health and Human Services (HHS) for purposes of determining compliance with the Privacy Rule and Security Standards, subject to attorney-client and other applicable legal privileges.

- (e) Comply with any and all other applicable provisions of the HIPAA Privacy Rule, Administrative, and Security Standards, including future amendments thereto. Develop written policies and procedures for safeguarding and securing PHI and complying with HIPAA and the HITECH Act, and other privacy laws. Designate a privacy official to be responsible for the development and implementation of its policies and procedures as required by 45 C.F.R. Part 164, Subpart E.
- (f) To the extent the PROVIDER is to carry out one or more of DHS' obligation(s) under Subpart E of 45 C.F.R. Part 164, comply with the requirements of Subpart E that apply to DHS in the performance of such obligation(s).

DHS Use of Information. DHS shall:

- (a) Only release information which it is authorized by law or regulation to share with the PROVIDER.
- (b) Obtain any required consents, authorizations, or other permissions that may be necessary for it to share information with the PROVIDER.
- (c) Notify the PROVIDER of limitations, restrictions, changes, or revocation of permission by an individual to use or disclose protected information, to the extent that such limitations, restrictions, changes or revocation may affect the PROVIDER's use or disclosure of protected information.
- (d) Not request the PROVIDER to use or disclose protected information in any manner that would not be permitted under law if done by DHS.

Disposition of Data upon Completion, Expiration, or Agreement Termination. Upon completion, expiration, or termination of this Agreement, the PROVIDER will return to DHS or destroy all protected information received or created on behalf of DHS for purposes associated with this Agreement. A written certification of destruction or return to Authorized Representative listed in 5.1 is required. The PROVIDER will retain no copies of such protected information, provided that if both parties agree that such return or destruction is not feasible, or if the PROVIDER is required by the applicable regulation, rule or statutory retention schedule to retain beyond the life of this Agreement, the PROVIDER will extend the protections of this Agreement to the protected information and refrain from further use or disclosure of such information, except for those purposes that make return or destruction infeasible, for as long as the PROVIDER maintains the information. Additional information for destruction and handling is available in the DHS Information Security Policy, Policy numbers 3.7, and 2.19, found at <http://edocs.dhs.state.mn.us/lfserver/Legacy/DHS-4683-ENG>.

Sanctions. In addition to acknowledging and accepting the terms set forth in VII. "Liability", relating to liability, the parties acknowledge that violation of the laws and protections described above could result in limitations being placed on future access to protected information, in investigation and imposition of sanctions by the U.S. Department of Health and Human Services, Office for Civil Rights, and/or in civil and criminal penalties.

IN WITNESS WHEREOF, the parties have caused this Agreement to be duly executed intending to be bound thereby.

FOR THE PROVIDER

Amanda Mackie 10/30/17
Amanda Mackie, Executive Director Date
Minnesota Valley Action Council

Diane Halvorson 10/30/17
Diane Halvorson, Executive Director Date
South Central WorkForce Council

FOR THE COUNTY

ATTEST TO:

By _____
County Board

Date _____

By _____
County Coordinator

Date _____

By _____
Human Services Director

Date _____

Approved as to Legality, Form and Execution:

By _____
County Attorney

Date _____

EXHIBIT A CONDITIONS

The following represents the general operating guidelines for this Agreement. These guidelines will be reviewed throughout the Agreement period and adjusted as deemed necessary by joint agreement of the COUNTY and the PROVIDER.

1. Primary Service Provider(s): Minnesota Valley Action Council, Inc.
2. Contracting: The COUNTY will have one contract with Minnesota Valley Action Council (MVAC), fiscal agent for the South Central WorkForce Council. The South Central WorkForce Council and Minnesota Valley Action Council may subcontract with additional providers for specific services as needed and as agreed upon in consultation with the COUNTY.
3. Administrative Responsibilities: The SC WorkForce Council will be responsible for contracting, fiscal and program monitoring, reports and oversight of service delivery to ensure compliance and performance. Minnesota Valley Action Council (Grant Recipient) will provide Fiscal and MIS services including processing client support service invoices, provider invoices, supported work payroll, invoicing COUNTY, fiscal reports and managing the area's Workforce One system including training, technical assistance and entry of support service payments.

Additional administration includes PROVIDER supervisor time related to the supervision and management of PROVIDER staff. The PROVIDER is also responsible for communication/coordination with the COUNTY, managing budgets at the program level and providing monthly expenditure and service reports.

4. Location of Services: Primarily, services will be delivered at the Minnesota Valley Action Council LeSueur County office. Services may be provided at additional locations as agreed upon between the PROVIDER and the COUNTY.
5. Services: All services will be delivered/implemented in accordance with the COUNTY Consolidated Plan (biennial service agreement), which is made a part of this Agreement by reference. The day to day management of the program and methods used to deliver services will be jointly agreed to by the COUNTY and the PROVIDER. In addition, the PROVIDER is accountable for all applicable COUNTY plans and all rules and regulations issued by the MN Department of Human Services (DHS), including all current and any future bulletins issued by DHS during the term of this Agreement and related to the delivery and proper implementation of program services. This includes, but is not limited to:
 - COUNTY Consolidated Plan and updates
 - DHS MFIP Employment Services Manual and updates
 - DHS/DEED SNAP ET Manual and updates

The primary service provider will be responsible for adequate staffing to deliver a comprehensive set of employment services designed to successfully assist program participants to transition from welfare to work. This may include, but is not limited to: orientation, assessment, development of employment plan, case management, job readiness classes, job search assistance, work experience, training and education services, support services, referrals and follow-up.

6. Funding: Funding will be for the period and amount identified on the Notice of Funds Available. Expenditures will not exceed funds available and will be within budget by line item as attached to the Notice of Funds Available. Any changes to the budget by line item must be approved by the COUNTY.

NOTICE OF FUNDS AVAILABLE 2018

**COUNTY:
LeSueur County Human Services**

Grant Agreement: CY 2018 LeSueur County

PROVIDER: Minnesota Valley Action Council, Inc.
706 N. Victory Drive
Mankato, MN 56001

Funding Summary

Title	Terms of Funds	CFDA #	Attachment(s)	Prior Level	Change	New Level
MFIP/DWP Services	01/01/18 - 12/31/18	93.558	Budget	\$0	\$209,911	\$209,911
TOTAL				\$0	\$209,911	\$209,911

Signature for the COUNTY BOARD

DATED: _____

Signature for the COUNTY Human Service Director

DATED: _____


Signature for the PROVIDER -MN Valley Action Council

DATED: 10/30/17


Signature for the South Central WorkForce Council

DATED: 10/30/17

MFIP/DWP BUDGET
Grant Agreement #: CY-18 LeSueur County

PROVIDER: MN Valley Action Council

PERIOD FUNDS AVAILABLE: January 1, 2018 to December 31, 2018

TOTAL FUNDS AVAILABLE: \$ 209,911

Total MFIP/DWP Administration: \$ 19,679

\$ 1,968 South Central WorkForce Council
\$ 7,871 Minnesota Valley Action Council –Fiscal and MIS Services
\$ 9,840 Minnesota Valley Action Council Provider –Employment Services

Total MFIP/DWP Program: \$ 190,232

\$162,232 Direct Program Staffing: The direct costs of providing counseling, job search, job placement, job retention, program overview, interpreter costs and any other direct expenses including wages, benefits, staff travel, office, telephone, durable and non-durable supplies.

Direct Program Staffing also includes Direct Program Client Services: including supplies, materials, field trips, and other MFIP/DWP direct program client service supplies.

Direct Program Staffing: includes Teen Parent mentor services that includes the delivery of comprehensive services and regular contact to promote high school completion, connect teens to community resources, improve personal development and support healthy lifestyle decisions for teen parents and their children.

\$ 28,000 Client Support Services: includes costs of employment-related expenses such as work tools, uniforms, safety shoes, trade licenses, interview clothing; transportation expenses including bus passes, cab fares, mileage, bus tickets, allocated expenses of a van pool or bus, auto purchase or lease, insurance and repairs; client education, housing, child care and other work related expenses, including work experience.

PURCHASE OF SERVICE AGREEMENT

**GREATER MINNESOTA FAMILY SERVICES
AND
Le SUEUR COUNTY HUMAN SERVICES DEPARTMENT**

July 1, 2018 to December 31, 2018

The Le Sueur County Human Service Department, 88 South Park Avenue, Le Centre, MN 56057, hereafter referred to as "Department" and the Greater Minnesota Family Services, 2320 E Hwy 12, Suite 2, Willmar, Minnesota 56201, hereafter referred to as "Contractor", enter into this agreement for the period from July 1, 2018 to December 31, 2018.

WITNESSETH

WHEREAS, the Contractor is an organization approved under Minnesota Department of Human Services Rule 29,

WHEREAS, the County wished to purchase such program services from the Contractor,

NOW, THEREFORE, in consideration of the mutual understandings and agreements set forth, the County and the Center agree as follows:

Purchase of Service:

As specified in the Federal Register of January 31, 1977, CFR 45 Part 28 and the Minnesota Comprehensive Annual Services Program Plan, the County agrees to purchase and the Contractor agrees to furnish the following:

Family Based Services –

- Family Based Mental Health
- Family Based Counseling
- Family Based Crisis Services
- Group Skills

County and Insurance Funding Model. Contractor will provide 1,296 hours of family based services (1 F.T.E.). These positions are serviced by 1 F.T.E. Qualified Mental Health Practitioners, qualified to provide mental health practitioner services under the supervision of a licensed Qualified Mental Health Professional. Contractor will accept reimbursement as \$64.88 per hour for the Mental Health Practitioner \$91.60 for the Licensed Mental Health Professional. All services to Medical Assistance, Prepaid Medical Assistance Plan (PMAP), and commercial insurance eligible and severely emotionally disturbed, and emotionally disturbed children will be billed to insurance. Diagnostic Assessments will be provided on an as needed basis at the request of the Department. Diagnostic Assessments are \$91.60 per unit. A Service Unit includes one hour of direct and indirect service to the client.

Cost and Delivery of purchased Services: (MA/GAMC and County Funding Model):

If no clients are eligible for MA/GAMC, PMAP, or commercial insurance, the total cost of the Family Based Mental Health, Family Based Counseling and Family Based Crisis Services would be \$84,084. Each insurance eligible client with a severe emotional disturbance or emotional disturbance will reduce the county's total costs by billing MA, Prepaid Medical Assistance Plan (PMAP), or commercial insurance for all direct client contact and travel time.

Number of Client Cases per FTE Caseload

The Greater Minnesota Family Services Board of Directors has established the maximum number of case referrals from the Department, at any given time, shall be twelve client cases for 1.0 FTE. The range would be six to twelve cases. The Contractor requests that if more cases need referral, the Department would refer these clients to other available Family Based staff.

Eligibility for Services:

The parties understand and agree that the eligibility of the client to receive the Purchased Services to be purchased by the Department and furnished by the Contractor is to be determined according to the Department.

When the Department has determined that the client is no longer eligible to receive Purchased Services from the Contractor, the Department shall so notify the Contractor within five (5) days of the determination.

Delivery of Care and Services:

Except as otherwise provided herein, the Contractor shall maintain in all respects its present control over and autonomy with respect to:

- a. The application of its intake procedures and requirements to clients.
- b. The methods, times, means, and personnel for furnishing Purchased Services to eligible clients.
- c. The determination of when to terminate the furnishing of Purchased Services to eligible clients.

Nothing in this agreement shall be construed as requiring the Contractor to provide or continue Purchased Services to or for any eligible clients.

Payment for Purchased Services:

- a. Certification of Expenditures: The Contractor shall, within (15) working days following the last day of each month submit an invoice to the Department
- b. Payment: The Department shall within thirty (30) days of the date of the receipt of the invoice, make payment to the Contractor.

Audit and Record Disclosures:

The Contractor shall allow personnel of the Department, the Minnesota Department of Human Services and the Department of Health to access the Contractor's records at reasonable hours in order to exercise their responsibility to monitor the services. The Contractor will be compliant with National Standards, U.S. Department of Human Services under the Health Insurance Portability and Accountability Act of 1996, Public Law 104-191 (HIPAA).

The Consultant agrees to provide assurances that it will comply with Health Information Portability and Accountability Act (HIPAA) requirements necessary to protect individual identifying health information (IIHI). Use and disclosure will require that IIHI will be:

- Appropriately safeguarded.
- Any misuse of IIHI will be reported.
- Secure satisfactory assurances from any subcontractor.
- Grant individual access and ability to amend their IIHI.
- Make available an accounting of disclosures and release applicable records if requested.
- Upon termination, return or destroy all IIHI in accordance with conventional record retention/destruction practices.

Safeguard of Client Information:

The use or disclosure by any party of information concerning an eligible client in violation of any rule of confidentiality or for any purpose not directly connected with the administration of the Department's or the Contractor's responsibility with respect to the Purchased Services hereunder is prohibited except on written consent of such eligible client, his/her attorney or his/her responsible guardian.

Equal Employment Opportunity and Civil Rights Clause:

The contractor agrees to comply with the Civil Rights Act of 1964, Title VII (42 USC 2000d) including Executive Order NO. 11246 and Title VI (42 USC 2000c).

Fair Hearing and Grievance Procedures:

The contractor agrees that a fair hearing and grievance procedure will be established in conformance with and in conjunction with the Fair Hearing and Grievance Procedures established, developed and provided by the Minnesota Department of Human Services.

Bonding, Indemnity and Insurance Clause:

- a. Bonding: The Contractor shall obtain and maintain at all times, during the terms of agreement, a fidelity bond covering the activities of its personnel authorized to receive or distribute monies in the amount of \$500,000.
- b. Indemnity: The Contractor does hereby agree that it will at all times hereafter, during the existence of this agreement, indemnify and hold harmless the Department from any and all liability, loss, damages, costs or expenses which may be claimed against the

- Department or the Contractor (1) by reason of any service client's suffering personal injury, death or property loss or damages either while participating in or receiving from the Contractor the care and services to be furnished by the Contractor under this agreement or while on premises owned, leased or operated by the Contractor or while being transported to or from said premises in any vehicle owned, operated, leased, chartered or otherwise contracted by the Contractor or any officer, agent or employee thereof; or (2) by reason of any service client's causing injury to, or damage to, property of another person during any time when the Contractor or any officer, agent or employee thereof has undertaken or is furnishing the care and services called for under this agreement.
- c. Insurance: The Contractor does further agree that, in order to protect itself as well as the Department under the indemnity agreement provision hereinabove set forth, it will at all times during the term of this agreement have and keep in force a liability insurance policy in the amount of \$3,000,000.

The Contractor certifies that the services to be provided under this agreement are not available without cost to eligible clients. The Contractor further certified that payment for Purchased Services will be in accordance with rates of payment, which do not exceed amounts reasonable and necessary to assure quality or service. (If services are being purchased from another public agency, the rate of payment shall be adjusted to the actual cost of the service.)

Conditions of the Parties' Obligation:

- a. It is understood and agreed that in the event the reimbursement to the Department from the state and federal sources is not obtained and continued an aggregate level sufficient to allow for the purchase of the indicated quantity of Purchased Services, the obligations of each party hereunder shall thereupon be terminated.
- b. This agreement may be cancelled by either party at any time, with or without cause, upon thirty (30) day notice, in writing, delivered by mail or in person.
- c. Before termination date specified in Section 1 of this agreement, the Department may evaluate the performance of the Contractor in regard to the terms of this agreement to determine whether such performance merits renewal of this agreement.
- d. Any alterations, variations, modifications or waivers of provision of this agreement shall be valid only when they have been reduced to writing duly signed and attached to the original of this agreement.
- e. No claims for services furnished by the Contractor not specifically provided in this agreement will be allowed by the Department nor shall the Contractor do any work or furnish any material not covered by the agreement, unless this is approved in writing by the Department. Such approval shall be considered to be a modification of the agreement.
- f. In the event that there is a revision of federal regulations, which make this agreement ineligible for federal financial participation, all parties will review the agreement and renegotiate those items necessary to bring the agreement into compliance with the new federal regulations.
- g. The Contractor agrees to establish written procedures for discharging a person or terminating services to a person. These written procedures shall include: notification of

the case manager, person to be discharged, the person's parent, or legal guardian prior to the termination of services, assistance in developing or securing alternative services and assuring a smooth transition to other services, the review and approval of the proposed action by the interdisciplinary team, and other procedures as agreed by the Contractor and the Agency.

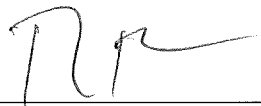
Subcontracting:

The Contractor shall not enter into subcontracts from any of the work contemplate under this agreement without written approval of the Department. All subcontracts shall be subject to the requirements of this contract. The Contractor shall be responsible for the performance of any subcontractor.

Miscellaneous:

Entire Agreement: It is understood and agreed that the entire agreement of the parties is contained herein; and that this agreement supersedes all oral agreements and negotiations between the parties relating to the subject matter hereof, as well as any previous agreements presently in effect between the Contractor and any county family service department(s) relating to the subject matter hereof.

IN WITNESS WHEREOF, the Department and the Contractor have executed this agreement as of the day and year first above written.

Signature 
Thomas Belcher, C.F.O.
Greater Minnesota Family Services

10/10/2017
Date Signed

Signature _____
Susan Rynda, Director
Le Sueur County Human Services

Date Signed

Chairperson,
Le Sueur County Board of Commissioners

Date Signed

APPROVED AS TO FORM AND EXECUTION

County Attorney

Date Signed

An Equal Opportunity/Affirmative Action Employer



2018-2019 County MFIP Biennial Service Agreement

January 1, 2018 - December 31, 2019

DHS-3863-ENG 9-17

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Enter the county's unique ID number

Contact Information

COUNTY/CONSORTIUM NAME

PLAN YEAR

CONTACT PERSON

TITLE

ADDRESS

CITY

STATE

ZIP CODE

PHONE NUMBER

EMAIL ADDRESS (where correspondence related to this form will be sent)

CONFIRM EMAIL ADDRESS

Note: Please review the 2018-2019 MFIP Biennial Service Agreement Bulletin for more details before you complete this document.

County MFIP Biennial Service Agreement

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A. Needs Statement

1. Besides funding, what is the single biggest challenge you are facing in financial assistance services?

Caseloads continue to increase and the MNSure (METS) system continues to have challenges and inefficiencies. This has created a lot of extra work for staff as well as frustrated clients and providers. In recent years "Unification" of Rules across program areas has resulted in Staff having to do additional training.

characters remaining

2. Besides funding, what is the single biggest challenge you are facing in employment services?

Caseloads for MFIP have increased. The needs of participants in the areas of mental health, chemical health and child protection have increased. There is a lack of infant/toddler child care and public transportation is not readily available in all communities in Le Sueur County.

characters remaining

A. Needs Statement (continued)

3. What strengths and resources do you have available to address the needs of your participants?

Please **check all** the resources available to participants in your service area and check whether the resource is available within MFIP financial or employment services "in-house" or from a partner organization (county resources with developed connections to MFIP), and/or an external community resource or both. If you lack sufficient resources in your area, check the Resource Gaps column, even if there are some resource sources. Add any "other" resources that you consider necessary.

MFIP Resources	Partner Resources	Community Resources	Resource Gaps	
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	ABE/GED
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Adult/elder services
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Career planning
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Childcare funds
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Chemical health services
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Computer lab access
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Credit counseling/financial literacy
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	English Language Learner (ELL)
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Food shelf
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Housing assistance
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Job club
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Job development
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Job placement
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Job retention
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Job search workshops
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Mental health services
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	On-the-job training program
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Post-secondary education planning
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Short-term training
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Supported work
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Paid work experience
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Transportation assistance (gas cards, bus cards)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Vehicle repair funds
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Volunteer opportunities
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Youth program
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other

Other

4. County Program Contact Information

Please name contacts for the following programs if different from the contact on the cover page. You only need to give a person's phone and email once.

MFIP STAFF CONTACT NAME	PHONE NUMBER	EMAIL ADDRESS
DWP STAFF CONTACT NAME	PHONE NUMBER	EMAIL ADDRESS
FINANCIAL ASSISTANCE SERVICES STAFF CONTACT NAME	PHONE NUMBER	EMAIL ADDRESS

County MFIP Biennial Service Agreement

Page 4 of 17

A. Needs Statement (continued)

Employment Services Provider(s) Information

Statute 256J.50, subdivision 8: Each county, or group of counties working cooperatively, shall make available to participants the choice of at least two employment and training service providers as defined under Minnesota Statutes, section 256J.49, subdivision 4, except in counties contracting with workforce centers that use multiple employment and training services or that offer multiple services options under a collaborative effort and can document that participants have choice among employment and training services designed to meet specialized needs.

List your current employment services provider(s) and check the respective box to indicate which population served. If a Workforce Center is the only employment services provider, list the multiple employment and training services among which participants can choose. Section G of this form addresses provider choice.

NAME	ADDRESS	CONTACT PERSON	PHONE NUMBER
Minnesota Valley Action Council	125 E. Minnesota Street P.O. Box 34	Heidi Sladek	507-357-4246

Population Served
 MFIP ES
 DWP ES
 FSS
 Teen Parents
 200% FPG

B. Service Models

Minnesota Family Investment Program (MFIP) and the Diversionary Work Program (DWP)

1. Do you have culturally specific employment services for different racial/ethnic groups?

No Yes *Check all that apply.*

- African American
- African immigrant
- Asian American
- Asian immigrant
- American Indian
- Hispanic/Latino
- Other SPECIFY: Services are individualized to meet the needs of each individual

2. What strategies do you use for hard-to-engage participants? *Check all that apply.*

- Home visits
- Sanction outreach services
- Incentives SPECIFY: Gas vouchers
- Off-site meeting opportunities
- Other

3. What types of job development do you do? *Check all that apply.*

- Sector job development
- Individual job development
- Other

4. Do you have an ongoing job development partnership or sector based job development with community employers to help participants with employment?

No Yes *Check all activities employers provide.*

- Interview opportunities
- Job skills training
- Job placement
- Job shadowing
- On-site job training
- Work experience
- Helps plan training programs
- Other

5. Do you provide job retention services to employed participants while they are receiving MFIP?

No Yes *Check all that apply.*

- Available to assist with issues that develop on the job
- Financial planning
- Soft skills training
- Mentoring
- Transportation
- Personal contact with the employee HOW OFTEN? bi-weekly
- Other

How long do you provide job retention services?

- Less than 3 months
- 3-6 months
- 7-12 months
- More than one year

6. Do you provide job advancement services to employed participants?

No Yes *Check all that apply.*

- Career laddering
- Networking
- Coaching/mentoring
- Ongoing job search
- Education/training
- Other

7. Do you utilize any career pathways programs or skill assessment and credentialing programs for your participants?

No Yes *Check all that apply.*

- Pathways to Prosperity (P2P)
- Work Keys
- National Career Readiness Certificate (NCRC)
- Other

B. Service Models (continued)

Family Stabilization Services (FSS)

1. Do you have professionals available to assist with FSS cases?

No Yes *Check all that apply*

- Adult Mental Health professional
- Public Health Nurse
- Children's Mental Health professional
- Psychologist
- Chemical Health professional
- Vocational Rehabilitation worker
- Adult Rehabilitation Mental Health Services (ARMHS) worker
- Social Worker
- Other

2. Do you make referrals for children of FSS participants?

No Yes *Check all that apply*

- Children's Mental Health Services
- Women, Infants and Children Program (WIC)
- Public Health Nurse home visiting services
- Other SPECIFY: Head Start/Early Head Start
- Child Wellness Check-ups

3. Are any of these services for children offered to non-FSS families?

No Yes

Services for families no longer on MFIP/DWP but under 200% of Federal Poverty Guideline

1. Do you provide services to families who are not receiving DWP or MFIP assistance but are under 200% of the Federal Poverty Guideline (FPG)?

No Yes *Check all the services that apply*

- ABE/ELL Classes
- Computer Lab Access
- Job postings
- Job retention services
- Support Services
- Other
- Child care
- GED
- Referral to other programs
- Training/Job Skills Classes

County MFIP Biennial Service Agreement

B. Service Models (continued)

Minnesota Family Investment Program (MFIP) Services for Teen Parents

1. Are there specialized workers who work primarily with teens (for example, child care worker provides child care resources to teens only)?

No Yes *Check all that apply for each age group*

- | Minors
(under age 18) | Age
18/19 | |
|-------------------------------------|-------------------------------------|---------------------------------|
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Financial worker |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Employment service worker |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Social worker (Social Services) |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Public health nurse |
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Child care worker |
| <input type="checkbox"/> | <input type="checkbox"/> | Other job role |

2. Is there a single point of contact for teens, that is, one staff with primary responsibility for keeping in contact with the teen, working with the teen, and making connections to other services? Respond for each age group separately. If yes for an age group, check the one position that serves this function within that age group.

No Yes

- | Minors (under age 18) | Age 18/19 |
|--|--|
| <input type="radio"/> Financial worker | <input type="radio"/> Financial worker |
| <input checked="" type="radio"/> Employment service worker | <input checked="" type="radio"/> Employment service worker |
| <input type="radio"/> Social worker (Social Services) | <input type="radio"/> Social worker (Social Services) |
| <input type="radio"/> Public health nurse | <input type="radio"/> Public health nurse |
| <input type="radio"/> Child care worker | <input type="radio"/> Child care worker |
| <input type="radio"/> Other job role | <input type="radio"/> Other job role |

3. Does your county have an active partnership with the local public health agency to get teen parents enrolled and engaged in public health nurse home visiting services? *Check one for each age group.*

Minors (under age 18) Yes, mandatory	Age 18/19 Yes, mandatory
Yes, voluntary	Yes, voluntary
No	No

C. Measures

Performance Measures

1. Performance-based funding is determined by a service area's annualized Self-Support Index value. Review the information and report links in this section to see the effect of performance on funding and reporting, based on Statute 256J.626, subdivision 7.

Starting for calendar year 2016, each service area funding allocation starts at 100 percent. Each year starting with the 2016 allocation, a bonus to a service area's Consolidated Fund allocation will be based on its performance on the Self-Support Index in the previous April to March year.

The **three-year Self-Support Index (S-SI)**: This measure starts with all adults receiving MFIP or DWP cash assistance in a quarter and tracks what percentage of them, three years later, are no longer receiving family cash assistance or are working an average of 30 hours a week if still receiving cash assistance. Those who left MFIP after reaching 60 counted months and those who left due to 100 percent sanction are only counted as a success if they worked an average of 30 hours per week in their last month of eligibility or if they began receiving Supplemental Security Income (SSI) after family cash assistance ended. To provide fair comparisons across service areas, DHS calculates a "Range of Expected Performance" for the S-SI that is based on local caseload characteristics and economic conditions. The service area's Self-Support Index value is whether the service area was above, within, or below its expected Range.

The S-SI and Range are annualized for the four quarters in the April through March year ending in the reporting year before the funding year. See the MFIP Annualized S-SI and WPR report for 2017 on the MFIP Reports page on the DHS website. A service area with an annualized S-SI "above" its customized Range of Expected Performance for 2017 will receive a 2.5 percent bonus added to its Consolidated Fund allocation for calendar year 2018.
[MFIP Annualized S-SI and WPR report \(PDF\)](#)

If your service area is receiving a bonus, congratulations! Please share a success strategy here:

characters remaining

In the future, if your service area has an annualized S-SI below its range for two consecutive years, you will have to **negotiate a multi-year improvement plan** with the commissioner. If no improvement is shown by the end of the second year of the multi-year plan, the next year's allocation must be decreased by 2.5 percent, to remain in effect until the service area performs within or above its Range of Expected Performance. For example, a service area scoring "below" for 2016 and 2017 would need to put in place a multi-year improvement plan. If continuing "below" for 2018 and 2019, there would be a 2.5 percent decrease for the 2020 Consolidated Fund allocation which would continue until an annualized S-SI above or within its Range. Then the service area would receive 100 percent of the allocation.

Supplemental information about the Performance Management System and Performance Improvement Plans can be found on CountyLink: www.dhs.state.mn.us/HSPM. If you would like additional information, contact the DHS Performance Management team at DHS.HSPM@state.mn.us or 651-431-5780.

C. Measures (continued)

Racial/Ethnic Disparities

2. A racial/ethnic disparity for a service area is defined as a **one-year Self-Support Index** that is five or more percentage points lower for a non-white racial/ethnic group than for the white group of MFIP/DWP-eligible adults in that area. Access the report "Two-Year Performance Trends of Racial/Ethnic and Immigrant Group". This report lists (1) service areas that have any racial/ethnic disparities requiring action and (2) the table of differences for all service areas.

[Performance Measures by Racial/Ethnic or Immigrant Group \(PDF\)](#)

If your service area is in the disparity list, please answer the following question:

DHS will work with you to reduce these disparities.

What strategies and action steps for each of the groups with disparities do you plan for the coming biennium?

characters remaining

County MFIP Biennial Service Agreement

D. Program Monitoring/Compliance

1. What procedures do you have in place to ensure that program funds are being used appropriately as directed in law? *Check all that apply.*

- Budget control procedures for approving expenditures
- Cash management procedures for ensuring program income is used for permitted activities
- Internal policies around use of funds, i.e. participant support services
- Other

2. What procedures do you have in place to ensure program policies are followed and applied accurately? *Check all that apply.*

- Case consultation
- Sample case review by workers
- Sample case review by supervisors
- Other

If your service area has not made changes to your random drug testing policy since the last BSA, go to Section E.

3. What procedures/policies do you have in place for administering random drug tests of convicted drug felons on MFIP as required by Minnesota Statutes, section 256J.26, subdivision 1?

- Written policy within the MFIP unit
- Coordination with Corrections
- Currently establishing new policy/procedure(s)
- Other

If your random drug testing policy has changed since the last BSA, please submit a copy to Tria Chang at Tria.Chang@state.mn.us

E. Collaboration and Communication with Others

1. How many employment services front-line staff are employed in your county or consortium?

3

How many employment services front-line staff in your county or consortium have MAXIS access?

1

How many managers/supervisors have MAXIS access?

1

2. Describe the process your service area uses to identify and resolve discrepancies between MAXIS and WF1 data in areas such as Family Stabilization Services coding, employment/hours, sanction status, etc.

Employment Services Staff meet face to face with Financial Workers twice monthly to review MFIP/DWP cases, review coding, and make modifications as needed. Employment Services Staff review DEED data reports monthly and share with Financial Workers to make corrections as needed.

characters remaining

F. Emergency Services

1. Does your county provide emergency or crisis services from your Consolidated Fund?

No Yes

If yes, enter your most up-to-date emergency/crisis services plan

We will send you a separate email with our EA plan as it is longer than this space allows.

characters remaining

County MFIP Biennial Service Agreement

G. Other

Administrative Cap Waiver

Minnesota Family Investment Program (MFIP) allows counties to request a waiver of the MFIP administrative cap (currently at 7.5%) for providing supported employment, uncompensated work or community work experience program for a major segment of the county's MFIP population. Counties that are operating such a program may request up to 15% administrative costs.

If your county is interested in applying for the waiver for the coming biennium, please complete the following four questions. Email Tria.Change@state.mn.us if you need assistance with the waiver.

1. Describe the activity(s) you will provide.

characters remaining

2. Explain the reasons for the increased administrative cost.

characters remaining

3. Describe the target population and number of people expected to be served.

characters remaining

4. Describe how the unpaid work experience is designed to impart skills and what steps are taken to help participants move from unpaid work to paid work.

characters remaining

County MFIP Biennial Service Agreement

Page 14 of 17

G. Other (continued)

Addendum for Unpaid Work Experience Activities

If your county is providing unpaid work experience activities for MFIP participants and you don't already have an Injury Protection Plan (IPP) in place, please fill out the IPP form. Email the completed form to Tria.Chang@state.mn.us.

Provider Choice

Does your county:

- Have at least two employment and training services providers. Go to Section H.
- Have a workforce center that provides multiple employment and training services, offers multiple services options under a collaborative effort and can document that participants have choice among employment and training services designed to meet specialized needs. Go to Section H.
- Intend to submit a financial hardship request.

County MFIP Biennial Service Agreement

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G. Other (continued)

Financial Hardship Request

FINANCIAL HARDSHIP - Exception to Choice of Employment Service Providers Requirement

MFIP provisions require counties to make a choice of at least two employment service providers available to participants unless a workforce center is being utilized (Minnesota Statutes, section 256J.50, subdivision 8). Counties may request an exception if meeting this requirement results in a financial hardship (Minnesota Statutes, section 256J.50, subdivision 9).

A financial hardship is defined as a county's inability to provide the minimum level of service for all programs if a disproportionate amount of the MFIP consolidated fund must be used to cover the costs of purchasing employment services from two providers or the cost of contracting with a workforce center.

To request approval of a financial hardship exception from the choice of provider requirement, please provide the following information.

1. If the county had a choice of providers in calendar year 2015, describe:
 - factors that have changed which indicate a financial hardship
 - why the hardship is expected to persist in the near future and
 - the magnitude of the hardship, which makes limiting delivery of employment services the best financial option for the county.

characters remaining

2. Summarize options explored by the county, including use of other partners in a workforce center or other community agencies, such as a Community Action Program or a technical college. The summary should also include:
 - major factors which prevent the county from utilizing these options and include a cost analysis of each option considered; and
 - the process used to determine the cost of other options (RFP or other county process).

characters remaining

3. If the county proposes to directly deliver MFIP employment services, provide a budget and staffing plan that clearly indicates consolidated funds will not be used to supplant county funds. The description should include information about what steps will be taken to ensure that county staff have the experience and skills to deliver employment services.

characters remaining

The Department of Human Services (DHS) and the Department of Employment and Economic (DEED) will also review the amount budgeted by the county for employment and training during calendar year 2015 and use this amount as a guide to determine whether the amount budgeted by the county for calendar year 2016 is reasonable.

If a financial hardship is approved, DHS and DEED will closely monitor county programs to ensure outcomes are achieved and services are being delivered consistent with state law.

County MFIP Biennial Service Agreement

H. Budget

Click on the link below to review your service area's 2018 MFIP allocations:

[MFIP Consolidated Fund \(PDF\)](#)

In the budget table, indicate the amount and percentage for each item listed for the budget line items for calendar years 2018-2019. Also note:

- Total percent must equal 100.
- MFIP administration is capped at 7.5 percent unless the county is applying for an administrative cap waiver. To apply for the administrative cap waiver, respond to the questions in Section G under Administrative Cap Waiver.
- If "other" is used, briefly describe the line item.

2018 Budget

Budgeted Amount	Percent	Line Items
		Employment Services (DWP)
		Employment Services (MFIP)
		Emergency Services/Crisis Fund
		Administration (cap at 7.5%)
		Income Maintenance Administration
		Other 1
		Other 2
		Total

2019 Budget

Budgeted Amount	Percent	Line Items
		Employment Services (DWP)
		Employment Services (MFIP)
		Emergency Services/Crisis Fund
		Administration (cap at 7.5%)
		Income Maintenance Administration
		Other 1
		Other 2
		Total

Email Brandon.Riley@state.mn.us if you need assistance with this section.

County MFIP Biennial Service Agreement

Certifications and Assurances

Public Input

Prior to submission, did the county solicit public input for at least 30 days on the contents of the agreement?

No Yes

Was public input received?

No Yes

If received but not used, please explain.

characters remaining

Assurances

It is understood and agreed by the county board that funds granted pursuant to this service agreement will be expended for the purposes outlined in Minnesota Statutes, section 256J; that the commissioner of the Minnesota Department of Human Services (hereafter department) has the authority to review and monitor compliance with the service agreement, that documentation of

compliance will be available for audit; that the county shall make reasonable efforts to comply with all MFIP requirements, including efforts to identify and apply for available state and federal funding for services within the limits of available funding; and that the county agrees to operate MFIP in accordance with state law and federal law and guidance from the department.

Federal Funding Sources

The catalog of Federal Domestic Assistance (CDA) Number is 93.558 - Temporary Assistance for Needy Families (TANF)
The Award number for the period of January 1, 2018 - December 31, 2019 is 2014G996115.

Service Agreement Certification

Checking this box certifies that this 2018-2019 MFIP Biennial Service Agreement has been prepared as required and approved by the county board(s) under the provisions of Minnesota Statutes, section 256J. In the box below, state the name of the chair of the county board of commissioners or authorized designee, their mailing address and the name of the county.

DATE OF CERTIFICATION	NAME (CHAIR OR DESIGNEE)	COUNTY
MAILING ADDRESS	CITY	STATE ZIP CODE

Save or Submit

To save your work, select the 'Save Form for Later' choice, then click the SUBMIT button. Your information will be saved, and you can come back to the form later.

To submit your information to DHS, select the 'Submit Final Form' choice, then click the SUBMIT button.

<p>Save Form for Later</p> <p>Submit Final Form</p>



Le Sueur County, MN

Tuesday, November 21, 2017

Board Meeting

Item 4

9:45 a.m. Human Resources (5 min)

Staff Contact:



Human Resources

88 SOUTH PARK AVENUE • LE CENTER, MINNESOTA 56057
Telephone: 507-357-8517 • Fax: 507-357-8607
Cindy Westerhouse – Human Resources Director

HUMAN RESOURCES AGENDA ITEMS November 21, 2017

Recommendation to accept the resignation request from Kristen Johnston, part time Homemaker in Public Health, effective November 22, 2017.

Recommendation to advertise for a part time Homemaker in Public Health, a Grade 1, Step 1 at \$13.41 per hour.

Recommendation to grant regular status to Jeremy Swenson, part time Corrections Officer - Dispatcher in the Sheriff's Office, effective November 16, 2017. Jeremy has completed the six-month probationary period.

Recommendation to accept the resignation request from Erin Linscheid, full time Recreational Therapist in Human Services, effective November 29, 2017.

Recommendation to post and request the merit list for a full time Recreational Therapist in Human Services, as a Grade 7, Step 1 at \$19.00 per hour.

Recommendation to accept the resignation request from Chris Schoenstedt, full time Community Support Technician in Human Services, effective November 29, 2017.

Recommendation to post and request the merit list for a full time Community Support Technician in Human Services, as a Grade 6, Step 1 at \$17.94 per hour.

Recommendation to accept the resignation request from Jay Hanna, full time IT Help Desk Supervisor in the Information Technology Department, effective December 1, 2017.

Recommendation to post and advertise for a full time IT Help Desk Supervisor in the Information Technology Department, as a Grade 9, Step 1 at \$21.36 per hour.

Recommendation to hire David Yates as a full time Assistant County Attorney in the County Attorney's Office, as a Grade 12, Step 1 at \$25.44 per hour, effective December 4, 2107.

Equal Opportunity Employer



Le Sueur County, MN

Tuesday, November 21, 2017

Board Meeting

Item 5

9:50 a.m. Jim Goltart, Veterans Services (5 min)

RE: Veterans van trade

Staff Contact:



Le Sueur County, MN

Tuesday, November 21, 2017

Board Meeting

Item 6

9:55 a.m. Peter Klein, St. Paul Port Authority (10 min)

RE: PACE Assessment Request

Staff Contact:

November 13, 2017

Darrell Pettis
Le Sueur County
88 S Park Avenue
Le Center, MN 56057

Dear Darrell:

The Saint Paul Port Authority is requesting Le Sueur County to place a Property Assessed Clean Energy (PACE) special assessment on the following parcel as requested by the property owner:

<u>Property Owner</u>	<u>Parcel Number</u>	<u>Assessment Amount</u>	<u>Interest Accrual Date</u>	<u>Interest Rate</u>
New Prague Senior Living Associates I, LLC	23.605.0050	\$1,584,160.00	11/21/2017	6.95%

As the administrator of PACE for Le Sueur County, the Port Authority has reviewed this assessment and it has determined that this project conforms with the Minnesota PACE statutes. The accrued interest should be added to the assessment amount on January 1, 2018. The total should be amortized evenly over the term of the assessment. The interest rate is 6.95% and the term of the assessment is 20 years.

Thank you for all of the assistance and effort the County has expended to make PACE available for energy efficiency and renewable energy projects in your county.

Sincerely,



Pete Klein
Vice President of Finance

PMK:djk



Special Assessments Application and Petition Agreement

The Property Assessed Clean Energy Program (MinnPACE), administered by the Saint Paul Port Authority, provides a finance mechanism for the installation of energy efficiency, renewable and conservation Improvements that are permanently fixed to the eligible properties and petition for special assessments in accordance with Minnesota Statutes Sections 216C.435 and 2016C.436 and Chapter 42, and the MinnPACE program. For further information on eligibility requirements, see the Administrative Guidelines and Financing Summary found at minnpace.com or contact the Saint Paul Port Authority at 651-204-6236. This MinnPACE Agreement contains an Application section, a Petition for Special Assessment, and designated attachments, all of which must be reviewed and completed, and constitute a full and complete agreement.

APPLICATION

Eligibility Requirements

- Applicant(s) is/are legal owner(s) of the Property described in the Application (the "Property.")
- Property is developed and located within the City of New Prague and County of Le Sueur.
(Revise as needed if special assessments are to be levied by a county or town.)
- Property Owner is current on all mortgage(s). All lenders have signed the Lender Acknowledgement Form for MinnPACE Financing.
- Property Owner is not in bankruptcy and the Property is not an asset in a bankruptcy proceeding.
- There are no federal or state income tax liens, judgment liens or similar involuntary liens on the Property.
- Applicant(s) can be verified as being in "Good Standing" with the Minnesota Office of the Secretary of State **or** are be able to provide a Member Agreement or Operating Agreement certified to be true or correct.
- Improvement costs are reasonable for the scope of the proposed Improvements and in relation to Property value.
- Requested Financing Amount does not exceed the lesser of 20 percent of the Property Market Value or the actual cost of installing the Improvements, including the cost of necessary equipment, materials and labor, the cost of energy audit or renewable energy feasibility study and the cost or verification of installation, less the value of expected rebates.
- Term of financing requested does not exceed the weighted average of the useful life of the Improvements.
- Applicant(s) has/have obtained an **energy audit or renewable energy feasibility study** on the Property. **Attach when submitting application.**

Below, please list and identify all real estate upon which the improvement will constructed or will be directly benefited.

Property Owner(s) Legal Name(s) (as they appear on Property tax records)

Applicant #1: New Prague Senior Living Associates I LLC

List all parcel #s Owned by Applicant #1: PID: 23.605.0050

Applicant #2: _____

List all parcel #s Owned by Applicant #2: _____

Applicant #3: _____

List all parcel #s Owned by Applicant #3: _____

Applicant #4: _____

List all parcel #s Owned by Applicant #4: _____



Property Owner(s) Type(s) — check all that apply

- Individual(s)/Joint Tenants/Common Property (not in trust)
- Corporation
- Limited Liability Company
- Trust/Trustees/Living Trust
- Partnership
- Other (Specify):

Property Owner Contact Information

Name: Mark Appelbaum Email: mark.appelbaum@makado.com
 Phone (Day): (612) 399-6685 Cell Phone: _____

Physical Property Address

Street Address: 10th Avenue between 1st Street SE and 3rd Street SE City, State Zip: New Prague, MN 56071

Property Type: Commercial/Industrial Apartment (more than four units)

Square Footage of Building (if applicable): 116,078 SF / 91 Units

Finance Amount Requested: \$ 1,584,160

Finance Term: 10 Years Other: 20 years

Current Mortgage Financing — *attach a copy of Mortgage Statement*

Name of Mortgage Lender: Signature Bank

Mortgage Lender Address: 9800 Bren Road East Suite 200, Minnetonka, MN 55343

Outstanding Principal Balance: \$14,594,000 (to be funded at closing) *Approximate*

Utility Company: _____

Improvement Description—*attach all relevant documentation, including bids and estimates*

Ground up construction of a new, 91 unit senior living facility. The project will include the construction of a 4-story building and underground parking garage. Unit mix is currently estimated to be 32 independent living units, 43 assisted living units and 16 memory care units. G-Energy conducted an Ashrae Level 2 report along with a review of energy efficient building design cost savings, which is attached hereto.

Petition for Special Assessment

We hereby acknowledge that we will be obligated to pay the assessments when due. The assessment and the interest and any penalties thereon will constitute a lien against the Property until they are paid, even if I/we sell the Property to another person. I/we understand that assessment installments together with the interest on the assessment will be collected on my/our Property tax bill in the same manner and at the same time as Property taxes and will be collected on my/our Property tax bill in subject to the same penalties, remedies and lien priorities as for Property taxes in the event of delinquency, including foreclosure. I/we waive any and all procedural and substantive objections to the installation of the Improvements and the special assessments, including but not limited to any public or other hearings or hearing requirements and any claim that the assessment exceeds the benefit to the Property. I/we waive any appeal rights otherwise available pursuant to M.S.A. §429.081.

The City or County will assess the cost of the Improvements as a special assessment against the Property in accordance with the City's or County's charter, code, or ordinances regulating assessments. The Assessment will carry a term of up to 20 years and will be certified by the City or County annually to County for collection with Owner's Property taxes. Owner may choose to prepay all or a portion of the assessment directly to the City or County at any time during the term of the Assessment. All principal amounts certified annually will carry interest amounts calculated on a fixed interest rate as established by the Saint Paul Port Authority prior to ratification of the Assessment by the City or County.

Declarations

By signing this Application, the undersigned hereby declares under penalty or perjury under the laws of the State of Minnesota all of the following:


1. I am/we are the current owner of record of the Property described herein (the "Property.")
2. The Property is not currently involved in a bankruptcy proceeding.
3. I/we are current on any mortgage(s) or other loan(s) secured by the Property.
4. I/we and the Property meet the Eligibility Requirements listed on page one.
5. I/we waive any and all procedural and substantive objections to the installation of the Improvements and the special assessments, including but not limited to any public or other hearings or hearing requirements and any claim that the assessment exceeds the benefit to the Property. I/we waive any appeal rights otherwise available pursuant the M.S.A. § 429.801.
6. That (i) the information provided in, or in connection with, this Application is true and correct as of the date set forth opposite my/our signature(s) on this Application and (ii) that I/we understand that any intentional or negligent misrepresentation(s) of the information contained in this Application, or provided in connection with the Application, may result in civil liability and/or criminal penalties including, but not limited to, fine or imprisonment or both and liability for monetary damages to the Saint Paul Port Authority, any lender providing financing for Improvements described herein, their agents, successors and assigns, insurers and any other person who may suffer any loss due to reliance upon any misrepresentation(s) which I/we have made in this application.
7. I/we agree that the selection of any product(s), equipment and measures referenced in this Application (the "Improvements,") and the decisions regarding the purchase, installation and ownership/maintenance of the Improvements is/are my/our sole responsibility and that I/we have not relied upon any representations or recommendations of MinnPACE/Saint Paul Port Authority, its agents, representatives, assignees, or employees in making such selection or decision, and that my manufacturer, dealer, supplier, contractor or installer of the Improvements is not an agent, employee, assignee or representative of MinnPACE/Saint Paul Port Authority.
8. Owner agrees that any review and approval of the Improvements by a City, County, State or governmental department is granted only in its capacity of administering and enforcing existing relevant codes. Any such approval is only as to compliance with the codes and does not create a special duty to the Owner nor establish a warranty of quality of materials and workmanship.
9. I/we understand the MinnPACE/Saint Paul Port Authority makes no warranty, whether expressed or implied, with respect to the choice, use or application of the Improvements, including without limitation, the implied warranties of merchantability and fitness for any particular purpose, or the use or application of the Improvements.
10. I/we agree that MinnPACE/Saint Paul Port Authority has no liability whatsoever concerning (i) the quality or safety of any Improvements, including their fitness for any purpose, (ii) the estimated energy savings produced by or performance of the Improvements, (iii) the workmanship of any third parties, (iv) the installation or use of the improvement including, but not limited to, any effect on indoor pollutants; or (v) any other matter with respect to MinnPACE/Saint Paul Port Authority.

Prior to sending this application, be sure to include all required attachments.

- Evidence of Energy Audit or Renewable Energy System Feasibility (requested on page one) — *REQUIRED*
- Mortgage Statement (requested on page two) — *REQUIRED*
- Construction Contracts/Bids (requested on page two) — *REQUIRED*
- Lender Acknowledgement Form (requested on page five) — **REQUIRED FOR EACH AND EVERY MORTGAGE HOLDER**
- Financial Statements or Tax Returns from the past three years — *REQUIRED*

Signatures of all Property Owners and Notary

Date: 11/9/2017

Property Owner Signature  Printed Name Mark Appelbaum

Property Owner Signature _____ Printed Name _____

Property Owner Signature _____ Printed Name _____

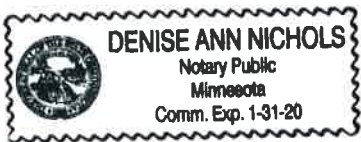
Property Owner Signature _____ Printed Name _____

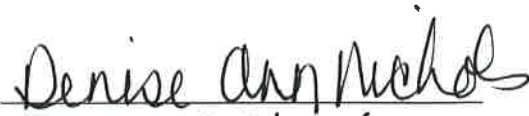
For an acknowledgment in an individual capacity:

State of Minnesota

County of Hennepin

This instrument was acknowledged before me on November 9th, 2017 (date) by Mark Appelbaum (name(s) of person(s)).
(Seal, if any)



Signature of notarial officer 
Title (and Rank) My commission expires: 1-31-20



Lender Acknowledgement Form

The undersigned, on behalf of the Lender, which is the holder of a mortgage lien on the Property described in this Application and Petition for Special Assessments in accordance with MinnPACE, administered by the Saint Paul Port Authority, acknowledges that it has reviewed the Applicant's completed MinnPACE Program Special Assessments Application and Petition Agreement, and confirms that the Applicant's receipt of MinnPACE financing and petition for assessment in connection therewith, and the imposition of, and any assignment of, MinnPACE will not constitute a default under Lender's mortgage.

Lender/Institution Name: SIGNATURE BANK

By: [Signature]
(Signature)

Name (print): RYAN MEIER

Title: VICE PRESIDENT

Date: 11/7/17

November 16 2017

Darrell Pettis
County Administrator
Le Sueur County
88 S. Park Avenue
Le Center, MN 56067

RE: New Prague Senior Living
91 unit senior living community
New Prague MN

Dear Mr. Pettis:

Makado is the developer of the New Prague Senior Living development that will commence construction the week after Thanksgiving.

The development will be a 91 senior living community with 26 independent living units, 49 assisted living units, and 16 memory care units as well parking for 43 underground spots and 65 above ground spots. Project amenities will include a library, pub, communal activity room, family visiting space, fitness room, makers studio for arts & crafts, wood working studio, salon, theatre, doctors visiting room, club room, memory care garden, and outside sitting area for independent residents. In addition, a robust wifi system will be available throughout the building as well as cable tv. Security for residents and staff will be enhanced by electronically controlled access for the building exterior as well as individual residential units. As well, there will be a robust set of security cameras throughout the building and the grounds of the building.

In addition to the PACE loan, Signature Bank is the first mortgage lender. As well, the City of New Prague has approved a \$1,724,492 tax increment financing plan (TIF) that Signature Bank will also lend against. As part of the TIF financing the development will maintain a set-aside of 20% of the 91 units for residents who have household at or below the 50% percentile of median income adjusted for the size of the household.

Eagle Builders will be the general contractor and Kaas Wilson Architects will be the project architects. Makado is a real estate development firm with a primary focus on senior living communities. Makado also developed The Rosemount <http://www.therosemount.com/> which is a 92 unit senior living community that was built in 2015 and is stabilized.

I would welcome the opportunity to meet with you in person or address any questions you may have regarding the New Prague Senior Living development or Makado in general.

Sincerely,



Mark Appelbaum

makado.com
The Makado Group LLC 5407 Excelsior Boulevard, Suite C Minneapolis MN 55416
612.399.6685



Le Sueur County, MN

Tuesday, November 21, 2017

Board Meeting

Item 7

10:05 a.m. Amy Beatty, Environmental Services (10 min)

RE: Le Sueur County Feedlot Work Plan

Staff Contact: Amy Beatty



ENVIRONMENTAL SERVICES

Mailing Address: 88 South Park Avenue, Le Center, MN 56057
Physical Address: 515 South Maple Avenue, Le Center, MN 56057
Direct Dial: 507-357-8538 Fax: 507-357-8541
Email: environmentalservices@co.le-sueur.mn.us
County Website: www.co.le-sueur.mn.us

DATE: Wednesday, November 15, 2017
TO: Le Sueur County Board of Commissioners
FROM: Amy Beatty, Le Sueur County Environmental Programs Specialist
RE: Le Sueur County 2018-2019 Feedlot Work Plan

Feedlot Work Plan

As part of Le Sueur County's Delegation Agreement with the Minnesota Pollution Control Agency (MPCA) to administer the feedlot program, the county is required to submit a work plan. The work plan lists the minimum program requirements that the county shall do to administer and implement the feedlot program. The county is required

- To keep an inventory of registered or permitted feedlots;
- To inspect feedlots to determine compliance;
- To permit feedlots for construction or forward applications to the MPCA if a state permit is required;
- To review complaints; and
- To assist feedlot owners with items such as land application recordkeeping, manure management planning, and permit applications for state and/or county issued permits.

Le Sueur County's 2018-2019 Feedlot Work Plan has been reviewed by MPCA feedlot staff and satisfactorily addresses delegation agreement requirements. In order for the work plan to be executed,

- A review of the county's work plan by the County Board of Commissioners and signed by the Chair upon approval by the Board.

MPCA County Feedlot Program Delegation Agreement Work Plan

Delegation Agreement Years: 2018-19

County: Le Sueur County

County Feedlot Officer(s): Amy Beatty

Primary Contact Person: Amy Beatty

Telephone Number(s): 507-357-8203 (direct) and 507-357-8538 (Department)

E-mail Address(es): abeatty@co.le-sueur.mn.us

Amendment Number: _____

(Please see Appendix A for help completing this document.)

The revised rules adopted on October 23, 2000 and updated in January 2015, require a Delegated County (County) to prepare a Delegation Agreement that describes the County's plans, strategies and goals for administration and implementation of the Feedlot Program. This Delegation Agreement Work Plan satisfies the Minnesota Rule Chapter 7020 requirement that the Delegation Agreement must be reviewed and approved by the County and the Minnesota Pollution Control Agency (MPCA) annually.

Minnesota legislative appropriation language (Minnesota Statutes 116.0711) contains provisions for reducing grants to Counties if they do not meet minimum program requirements (MPRs) as set forth in this document. Counties that fail to meet the minimum 7% inspection rate MPR and/or 90% of non-inspection MPRs are subject to base grant reductions and/or loss of eligibility for a performance credit award.

For any feedlot in which a County employee or a member of the County employee's immediate family has an ownership interest, the County employee will not:

- (a) Be involved in making preliminary or final decisions to issue a permit, authorization, zoning approval, or any other governmental approval for the feedlot; and
- (b) Conduct or review inspections for the feedlot.

<p>This MPCA County Feedlot Program Delegation Agreement Work Plan has been prepared by the County for the period of January 1, 2018 – December 31, 2019. The County agrees with the terms and conditions established in this Delegation Agreement Work Plan and will use feedlot grant funds in conjunction with the required local match dollars and in-kind contributions to carry out the goals, plans and minimum program requirements described herein. The County understands that this Delegation Agreement Work Plan will be reviewed by the MPCA after completion of the first year and, if necessary, be revised.</p>	
Signature of Chair of Board of County Commissioners	Date

A. STRATEGIES

MN Rules 7020.1600, Subp. 3a. states a County must develop annual plans and goals in accordance with registration, inspection, compliance and owner assistance responsibilities as well as permit goals, complaint response and staffing levels.

Registration Strategy

1. Please indicate the method(s) the County will use to provide a feedlot owner with a registration receipt:
 - a. A 30-day registration receipt letter
 - b. A 30-day inspection letter that contains confirmation of re-registration
 - c. A permit cover letter or Certificate of Registration that contains confirmation of re-registration
 - d. Verbal notification of re-registration as documented by a log

Le Sueur County will use a 30-day registration receipt letter.

2. Please indicate the type of registration form used by the County.
 - a. MPCA standard registration form
 - b. County designed form (A copy of the form must be attached.)

Le Sueur County uses the MPCA standard registration form plus a supplemental form listing the facility's buildings and manure storage structures and the amount of available acres for manure application.

3. Please describe how the County will address facilities that upon re-registration show an increase in animal units, a change or addition to animal types or a change or addition to manure storage (i.e. liquid storage when it wasn't previously included).

Le Sueur County will evaluate for compliance regarding permitting and notification requirements; will evaluate if the increase will require a county conditional use permit; determine if a feedlot inspection is warranted; contact the feedlot owner (letter and/or telephone call) to discuss the situation.

4. Please describe the strategy and timeline that the County intends to follow to address facilities that have not met the re-registration deadline by January 1, 2018 and/or any continuous registration strategy over the next two years.

Le Sueur County will send re-registration letters; call the feedlot owner; and/or stop at the feedlot site to speak with the feedlot owner. If these strategies do not result in re-registration, a certified letter will be mailed to the feedlot owner requesting re-registration that will also be sent to the County Attorney and County Commissioner

Inspection Strategy

For assistance with completing this part of the Delegation Agreement Work Plan please see Appendix A. A County must have an inspection strategy for the purpose of identifying pollution hazards and determining compliance with discharge standards, rules and permit conditions.

Using the table below, please complete an inspection strategy. The strategy must include required goals, **as applicable to the County**, for conducting inspections at the following sites.

Required Inspection Strategies

Strategy Goal	Inspection Goal 2018*	Inspection Goal 2019*
Sites proposing construction or expansion	Use as needed	Use as needed
Sites with an Interim (at sites required to be registered) or Construction Short Form (CSF) permit w/ >300AU.	Use as needed	Use as needed

Conduct facility compliance inspections at feedlots that are required to be registered that have never been inspected (the County has two major watersheds – the Minnesota River – WRAPs in progress and the Upper Cannon River- WRAPs completed)	13	13
Total	13	13

**If applicable, enter a number or range for the number of sites the County predicts will be completed for each required strategy goal. If not applicable, simply enter N/A. There will not be a penalty if the County does not meet strategy goal numbers as long as there is a valid reason and the County communicates with the MPCA regional staff in a timely manner.*

The County’s inspection strategy shall also include goals, **as applicable**, for conducting inspections at high risk/high priority sites and/or low risk/low priority sites. The County may choose from the provided examples or write their own strategy in the space provided below.

HIGH RISK/HIGH PRIORITY SITES

- a) Sites within shoreland, a Drinking Water Supply Management Area (DWSMA), Watershed Restoration and Protection Strategy (WRAPS), a TMDL and/or BWSR One Watershed One Plan (1W1P). (See Appendix A for 1W1P link.)
- b) Sites that, according to previous inspections, have not been maintaining adequate land application records and/or manure management plans.
- c) Sites that have an OLA and/or an open lot without runoff controls.
- d) Conduct phosphorus inspections within a formally designated area such as a TMDL, WRAPs or BWSR 1W1P. (See Appendix A for BWSR 1W1P link.)
- e) Conduct in-field land application inspections within a formally designated area such as a TMDL, WRAPs or BWSR 1W1P. (See Appendix A for BWSR 1W1P link.)
- f) Alternative Strategy

LOW RISK/LOW PRIORITY SITES

- a) Sites within a specified size category (i.e. 300 – 499 AU). Please specify.
- b) Sites within a watershed, township or other formally designated area.
- c) Conduct phosphorus inspections within a specific watershed, township or other formally designated area.
- d) Conduct in-field land application inspections within a specific watershed, township or other formally designated area.
- e) Conduct phosphorus inspections as part of a compliance inspection.
- f) Conduct in-field land application inspections as part of a compliance inspection or at non-NPDES sites >300 AU.
- g) Conduct inspections at all sites in the County on a five year or less rotating basis.
- h) Alternative Strategy

Inspection Strategies

Inspection Strategy	Inspection Goal 2018*	Inspection Goal 2019*
Conduct nitrogen and phosphorus land application inspection at all facility compliance inspections that are 300+ AU.	2	2
Conduct nitrogen land application inspection at all facility compliance inspections that are 100 to 299 AU.	2	2
Conduct in-field land application inspections for both solid and liquid	2	2

<i>manure; will randomly inspect owners that call in for air quality exemptions and will random drive the county-side in spring and fall to view land application.</i>		
<i>If schedule allows, conduct inspections at sites that were previously inspected that were not keeping land application records.</i>	2	2
Total	8	8

**Enter the number of inspections the County predicts will be completed for each category.*

Note: Numbers entered for in-field land application goals must be quantified by feedlot sites and not individual farm fields.

Inspection Strategy Totals

	Inspection Goal 2018*	Inspection Goal 2019*
Total	21	21

**Enter the total inspections from both the Required Inspection Strategies and Inspection Strategies tables above.*

Please describe the type of documentation the County will use to document inspections by inspection type. (See Appendix A.)

- Compliance Inspection: may include, but not limited to, MPCA compliance inspection checklist, aerial photograph of the site, camera photographs, notes (on compliance and non-compliance, record review calculations), copies or photos of contents of the owner’s feedlot files or records, nitrogen and phosphorus record review worksheets, manure and/or soil test results
- Construction Inspection: may include, but not limited to, aerial photograph of the site, camera photographs, notes, copies or photos of contents of the owner’s feedlot files or records, as-built documentation
- Complaint Inspection: may include, but not limited to, aerial photographs of the feedlot site or field if land application or stockpile, maps from the county feedlot file, camera photographs, notes, copies or photos of contents of the owner’s feedlot files or records, land ownership records, nitrogen and phosphorus record review worksheets, manure and/or soil test results
- Phosphorus/Desk-top Nitrogen & Phosphorus Record Inspection: may include, but not limited to, the nitrogen and phosphorus sections of the MPCA compliance inspection checklist, maps from the county feedlot file, notes, copies or photos of contents of the owner’s feedlot files or records, land ownership records, nitrogen and phosphorus record review worksheets, manure and/or soil test results, record review calculations
- In-Field Land Application Inspection: may include, but not limited to, MPCA compliance inspection checklist for in-field land application inspection, aerial photographs of the application site (showing the locations of sensitive features), maps from the county feedlot file, camera photographs, notes, copies or photos of contents of the owner’s feedlot files or records, land ownership records, nitrogen and phosphorus record review worksheets, manure and/or soil test results, record review calculations
- Stockpile Inspection: may include, but not limited to, MPCA compliance inspection checklist for stockpiles, aerial photograph of the site (which would include locations of nearby sensitive features requiring setbacks), county stockpile permit application and its enclosures (maps/aerials from the feedlot owner or cropland manager and soil information from the Web Soil Survey), camera photographs, notes

Compliance Strategy

1. Please state the various method(s) and practice(s) that the County will use in response to **compliance inspections** that result in non-compliance, including facilities that have failed to meet OLA timelines:

- a. Include corrective actions in the inspection results notification letter, where corrective actions can be completed in 30 days or less.
- b. Issue a Letter of Warning (LOW) or a Notice of Violation (NOV) that will include corrective actions and deadlines.
- c. Issue an Interim Permit that includes timelines for corrective actions.
- d. Document in a letter to the owner that another agency (NRCS or SWCD) is working to correct identified pollution hazards.
- e. Other strategies, as described in the space below.

Le Sueur County will use the following methods or practices for non-compliance issues:

- Document in an inspection report/letter: If the non-compliance issue is noted during an inspection, I document the non-compliance both in the letter and on the inspection form. The schedule of compliance will either be stated in the inspection report/letter.
- Letter of Warning: A Letter of Warning is most often issued when the Department receives a complaint. The letter will state what the non-compliance issue is and request a production site inspection or date by which to fix the non-compliance issue.
- Notice of Violation: A Notice of Violation is issued when the non-compliance issue is major (for example – when manure enters waters-of-the-state) or the Letter of Warning’s corrective actions were not completed during the allotted time frame. The letter will state what the non-compliance issue is, request a production site inspection, and for the feedlot owner to apply for an interim permit.

An interim permit may be issued after a non-compliance issue is either documented from an inspection, a complaint, or a Notice of Violation. An interim permit will be issued when a non-compliance issue will require a long period of time to correct or fix (for example - a construction project or multiple corrective actions). The time frame for the schedule of compliance will be based on the amount of corrective actions to be completed and will be discussed with the feedlot owner if it will be a reasonable amount of time in which to complete them. If the project is eligible for cost-share, the feedlot owner will be directed to the NRCS, SWCD, and/or private contractor for technical assistance.

The county will refer to the County Feedlot Officer Toolbox for letter and notice templates and rule citations.

2. Please indicate the various method(s) and practice(s) that the County will use in response to **land application inspections** that result in non-compliance:
 - a. Address non-compliance at the same time the facility non-compliance is addressed. See above.
 - b. Include corrective actions in the inspection results notification letter, where corrective actions can be completed in 30 days or less.
 - c. Issue an LOW or NOV that will include corrective actions and deadlines.
 - d. Document in a letter to the owner that another agency (NRCS or SWCD) is working to correct identified pollution hazards.
 - e. Other strategies, as described in the space below.

For land application inspections that result in non-compliance, the county will address the non-compliance at the same time the facility non-compliance is addressed – please see above listed. If the non-compliance is noted during an in-field land application inspection, the owner will be told verbally on-site, if present, and will be documented in the inspection form mailed to the feedlot owner.

3. Please state the timelines (scheduled compliance goals) that the County intends to meet when using the methods and practices identified under Item 1 and Item 2 above:

- a. Notification of inspection results informing the producer of non-compliance including the listing of any corrective action that can be completed within 30 days. Follow-up contact/communication to evaluate producer progress.
- b. Decision to escalate compliance action where progress on corrective actions is not forthcoming.

The timeline in which to complete the non-compliance issue and method to communicate to the feedlot owner will be

- Initial communication of non-compliance issues will be verbal and take place during an on-site or inspection.
- The non-compliance issue will be formally addressed in a letter and on the MPCA inspection form within thirty (30) business days of the inspection or on-site.
 - For example, if the non-compliance was no manure and soil phosphorus testing at a >300 AU feedlot, I would verbally state to the feedlot owner to submit a manure sample for testing during the spring or fall manure application and have the soil phosphorus levels tested in the spring or fall. Proof of compliance would be submitting a copy of the analysis to be placed in the county's feedlot owner's file.
 - If the non-compliance issue is not following the Board of Animal Health's requirements, a Letter of Warning is sent to the feedlot owner stating the proper disposal requirements. To determine compliance, a follow-up inspection of the site and a letter stating the animal mortality was or was not properly removed would be sent.
- If an Interim Permit was issued, scheduled inspections to view the progress on the fix would be made with the feedlot owner. The compliance status would be communicated verbally on-site and followed-up with a letter and/or MPCA inspection form.

If feedlot owner does not contact the Department by the date stated in the letter (usually thirty (30) days of the date on the LOW, NOV, and/or inspection letter), a second letter, within ten (10) business days, will be mailed to the feedlot owner stating that no action has taken place or no contact with the Department; to contact the Department immediately; and the letter will be cc'd to the county attorney for possible enforcement action.

With all non-compliance issues, I am willing to work with the feedlot owner (such as the feedlot owner having a voice on how to fix the non-compliance and the schedule of compliance timeline).

If compliance has not been achieved within the set timeline and the feedlot owner has not communicated with the county regarding the issue, the county would request assistance from state agencies and/or assistance from other county staff regarding enforcement proceedings.

Owner Assistance Strategy

1. Please describe the type of activities you plan to conduct and how many of each. (Examples: group education events; newsletters; newspaper articles; producer surveys; distribution of manure sample containers; help with MMP writing.)

Planning on one newsletter; offer guidance with permit applications prior to submittal (1 to 2); offer assistance with completing the NPDES annual report (1 to 2). The majority of owner assistance is speaking with potential livestock owners and realtors regarding the county's zoning ordinance requirements for livestock and manure application.

2. Please state the number of producers you expect will attend training and education activities if any are proposed.

Approximately 20 to 25 feedlot owners. I do mail out training and education activities to specific

feedlot owners that would benefit from the training (for example, CAWT training to feedlot owners who are also CAWT's).

3. Will you be keeping track of the number of producer contacts? If so, how?

Will be keeping copies of letters mailed to feedlot owners and entries in my work calendar.

B. DELEGATED COUNTY MPRs

MN Stat. 116.0711 Subd. 2. (c) states that 25% of the total appropriation must be awarded according to the terms and conditions of the following MPRs.

Inspection MPRs

A County must inspect 7% or more of their State required registered feedlots annually, as determined by the table in Appendix B, to be eligible for the Inspection MPR award. A compliance inspection, a desk-top nitrogen and phosphorus record inspection or an in-field land application inspection may only count once towards the minimum 7% inspection rate. A second inspection done at the same site in the same year would be counted towards performance credits. At least half of the 7% inspections should be compliance inspections. The remaining half can be a combination of construction/interim permit inspections, desk-top nitrogen and phosphorus record inspections or in-field land application inspections.

Inspection MPRs	Jan. 1 – Dec. 31, 2018	Jan. 1 –Dec 31 2019
1. Agency-approved number of feedlots required to be registered by the State. <i>(Enter the number of feedlots for your County found in Appendix B.)</i>	172	(Leave blank)
2. County–Agency agreed upon inspection rate. <i>(Enter "7%" for 2018 and 2019 unless a different inspection rate percentage was negotiated.)</i>	7%	7%
3. County–Agency agreed upon inspection number for the identified time period. <i>(Calculate 7% of the number from item 1 and enter it here.)</i>	13	(Leave blank)

Non-Inspection MPRs

Registration MPRs	YES	NO
1. The County will register and maintain registration data in the Tempo database (MN R. Ch. 7020.0350 Subp. 1 and 7020.1600, Subp. 2. C). <i>A County program review should indicate that the County uses the MPCA standard feedlot registration form or has been approved to use a County-designed registration form and the County updates Tempo with the registration information acquired from registration forms and/or permit applications. Tempo fields that must be updated include shoreland status, DWSMA and OLA as agreed to by FMT-MACFO in 2013.</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. The County issues a registration receipt to the feedlot owner within 30 days of receipt of the registration form (7020.0350, Subp. 5). <i>A file review should indicate the County has fulfilled the registration receipt requirement as stated in their Delegation Agreement Work Plan Registration Strategy.</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Inspection MPRs	YES	NO
<p>3. The County maintains a record of all compliance inspection results, including land application inspections, conducted at feedlots required to be registered. At a minimum, counties must maintain on file (electronic or paper) a completed copy of the latest Minnesota Feedlot Inspection Checklist (7020.1600, Subp. 2. H.).</p> <p><i>A file review should indicate that the County uses and maintains on file inspection documentation as stated in their Delegation Agreement Work Plan Inspection Strategy.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>4. The County enters data from all feedlot inspections at feedlots required to be registered into Tempo by February 1 of the year following the end of the program year (7020.1600, Subp. 2. H.).</p> <p><i>A Tempo database query should indicate that inspection checklist data was entered into Tempo within required parameters.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>5. The County's Inspection Strategy has been approved by the agency (7020.1600, Subp. 3a.B.(1-2)).</p> <p><i>The County's Annual CFO Report Supplemental Information Page should indicate the County initiated inspection plans and goals as stated in their Delegation Agreement Work Plan Inspection Strategy.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Compliance MPRs	YES	NO
<p>6. The County will notify the producer, in writing, of the results of any compliance inspection. (See Appendix A). The notification must include a completed copy of the Minnesota Feedlot Inspection Checklist (7020.1600, Subp. 3a.B. (5)(a)).</p> <p><i>A file review should indicate the County has notified the producer(s) of compliance inspection results. Notification must be in writing either by letter or document, signed by the producer, that he/she has viewed and agrees with the completed inspection report and waives any further notification of results by mail.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>7. The County will bring feedlot operations into compliance through the implementation of scheduled compliance goals as stated in the County's Delegation Agreement Work Plan Compliance Strategy (7020.1600, Subp. 3a.B.(5)).</p> <p><i>A file review should indicate that the County brought non-compliant feedlot operations into compliance as stated in their Delegation Agreement Work Plan Compliance Strategy.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>8. The County maintains documentation and correspondence for any return to compliance from a documented non-compliance status (7020.1600, Subp. 2.H.).</p> <p><i>When a County records a corrective action in Tempo the file should contain documentation by either the County or another party verifying that the corrective action was implemented and/or installed.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Permitting MPRs	YES	NO
<p>9. The County will issue permits within the 60/120 day time period according to Minn. Stat. 15.99 (7020.0505, Subp. 5.C.).</p> <p><i>A file review should indicate that the County date stamps all application components and if applicable uses letters to notify producers of incomplete applications. An application component received by the County electronically (via e-mail) does not need a date stamp provided the dated e-mail is saved with the document.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

<p>10. The County will make sure all permit applications are complete (7020.1600, Subp. 2.C.). <i>A file review should indicate that the County uses an agency-approved application checklist and that applications are complete.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>11. The County will ensure producer compliance with required notifications (7020.2000, Subp. 4 and Subp. 5). <i>Public notifications for new or existing feedlots with a capacity of ≥ 500 AU proposing to construct or expand must include the following information:</i></p> <ul style="list-style-type: none"> <i>a. Owners' names or legal name of the facility;</i> <i>b. Location of facility - county, township, section, and quarter section;</i> <i>c. Species of livestock and total animal units;</i> <i>d. Types of confinement buildings, lots, and areas at the animal feedlot; and</i> <i>e. Types of manure storage areas.</i> <p><i>Public notification is completed by equal or greater notification of one of the following:</i></p> <ul style="list-style-type: none"> <i>a. Newspaper (affidavit in file);</i> <i>b. Delivery by mail or in person; or</i> <i>c. As part of a county/township permitting process (CUP).</i> 	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>12. The County will issue the appropriate permit after completion of required notifications (7020.2000, Subp. 4, 5). <i>A file review should indicate that permits have been issued after the appropriate number of business days (20) following public notifications.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>13. The County will ensure that MMP (manure management plan) conditions have been met according to 7020.2225, Subp. 4.D. prior to permit issuance (7001.0140). <i>A file review should indicate that a MMP and a MMP checklist completed by the County is on file for any Interim permit issued for a site >100 AU; that a MMP and a MMP checklist completed by the County is on file for any CSF permit issued for a feedlot where manure is non-transferred; and that a completed copy of the document "MMP When Ownership of Manure is Transferred" is on file for a feedlot ≥ 300 AU where manure is transferred.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>14. The County will ensure that a producer who submits a permit application that includes a liquid manure storage area (LMSA) meets the requirements in 7020.2100. <i>A file review should indicate that the County uses an agency-approved LMSA checklist and that plans and specifications are complete.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>15. The County will ensure that any pollution problem existing at a producer's site will be resolved before the permit is issued or will be addressed by the permit (7020.0535 Subp.7. and 7001.0140). <i>A file review should indicate the County issues Interim permits in appropriate situations and conducts an inspection prior to permit issuance.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Complaint Response MPR	YES	NO
<p>16. The County maintains a record of all complaint correspondence. (7020.1600, Subp. 2.H. and Subp. 2.J.(6))</p> <p><i>The County maintains a complaint log and promptly reports to the MPCA any complaints that represent a possible health threat, a significant environmental impact or indicate a flagrant violation.</i></p> <p><i>The complaint log should include:</i></p> <ul style="list-style-type: none"> a. <i>Type of complaint;</i> b. <i>Location of complaint;</i> c. <i>Date and time complaint was made;</i> d. <i>Facts and circumstances related to the complaint; and</i> e. <i>A statement describing the resolution of the complaint.</i> 	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Owner Assistance MPR	YES	NO
<p>17. The County's Owner Assistance Strategy has been approved by the agency. (7020.1600, Subp. 2.J.(5) and Subp. 3a.B.(7))</p> <p><i>The year-end review should indicate that the County initiated their plans as stated in their Delegation Agreement Work Plan Owner Assistance Strategy.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Staffing Level and Training MPR	YES	NO
<p>18. The CFO (and other feedlot staff) attend training necessary to perform the duties of the feedlot program and is consistent with the agency training recommendations. (7020.1600, Subp. 2.K.)</p> <p><i>The County should complete a minimum of 18 continuing education units (CEUs). Each unit consists of one hour of training related to MN Rules Ch. 7020 competency areas: regulating new construction, conducting inspections and evaluating compliance, handling complaints and reported spills, responding to air quality complaints, resolving identified pollution problems, communicating with farmers and the agricultural community. All training sessions attended by the County must be submitted using the Annual CFO Report Supplemental Information Page.</i></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Air Quality MPR	YES	NO
<p>19. The County maintains a record of all notifications received from feedlot owners claiming air quality exemptions including the days exempted and the cumulative days used. (7020.1600, Subp. 2.I.)</p> <p><i>The County should maintain a pumping notification log. The log should include:</i></p> <ul style="list-style-type: none"> a. <i>Names of the owners/legal facility name;</i> b. <i>Location of the facility (county, township, section, quarter);</i> c. <i>Facility permit number; and</i> d. <i>Start date and number of days to removal.</i> 	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Web Reporting Requirement	YES	NO
<p>20. The County maintains an active website listing detailed information on the expenditure of County program grant funds and measureable outcomes as a result of the expenditure of funds. (86th Legislature, 2009 MN Session Laws, Chapter 37 – H. F No. 2123, Article 1, Section 3, Subdivision 1)</p> <p><i>As of July 1 of the current program year the Annual CFO Report and MPCA Financial Report from the previous program year should be on the County's website.</i></p> <p>https://www.revisor.mn.gov/laws/?year=2009&type=0&doctype=Chapter&id=37</p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

2018 County Feedlot Program Delegation Agreement Work Plan Review

A. County Resource Request (Request any resources the MPCA can provide to help administer the County feedlot program in your County.)




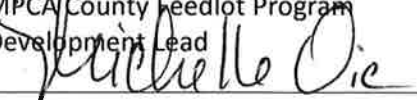
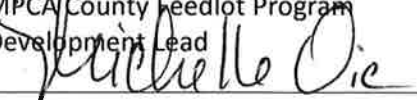
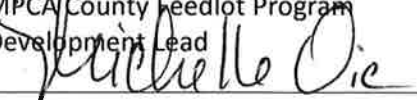
MPCA Response to County Resource Request

B. Documentation of Delegation Agreement Work Plan Revisions and/or Alternate Methods for Meeting MPRs (Any Delegation Agreement Work Plan revisions, including alternate methods for meeting MPRs agreed to by MPCA and the County, must be documented here.)

C. Delegation Agreement Approval

The 2018 Delegation Agreement Work Plan has been reviewed and satisfactorily addresses Delegation Agreement Work Plan requirements.

Yes No

<p>The comments as recorded above together with the signatures of represented parties constitute that review of the Delegation Agreement Work Plan has been conducted and agreement of County duties and strategies by the MPCA and the County for the January 1 – December 31, 2018 period has been achieved.</p>					
	County Feedlot Officer				
	<table style="width: 100%; border: none;"> <tr> <td style="width: 70%; border: none;">Signature of County Feedlot Officer</td> <td style="width: 30%; border: none;">Date</td> </tr> <tr> <td style="border: none; text-align: center; vertical-align: bottom;">  </td> <td style="border: none;"></td> </tr> </table>	Signature of County Feedlot Officer	Date		
	Signature of County Feedlot Officer	Date			
					
<table style="width: 100%; border: none;"> <tr> <td style="width: 65%; border: none;">MPCA County Feedlot Program Development Lead</td> <td style="width: 35%; border: none;">Date</td> </tr> <tr> <td style="border: none; text-align: center; vertical-align: bottom;">  </td> <td style="border: none; text-align: center; vertical-align: bottom;">11-14-17</td> </tr> </table>	MPCA County Feedlot Program Development Lead	Date		11-14-17	
MPCA County Feedlot Program Development Lead	Date				
	11-14-17				

Amendment: _____

2019 County Feedlot Program Delegation Agreement Work Plan Review

- A. **County Resource Request** (Request any resources the MPCA can provide to help administer the County feedlot program in your county.)

MPCA Response to County Resource Request

- B. **Documentation of Delegation Agreement Work Plan Revisions and/or Alternate Methods for Meeting MPRs** (Any Delegation Agreement Work Plan revisions, including alternate methods for meeting MPRs agreed to by MPCA and the County, must be documented here.)

- C. **Delegation Agreement Approval**

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The comments as recorded above together with the signatures of represented parties constitute that review of the Delegation Agreement Work Plan has been conducted and that agreement of County duties and strategies by the MPCA and the County for the January 1 – December 31, 2019 period has been achieved.		
	County Feedlot Officer	
	Signature of County Feedlot Officer	Date
	MPCA County Feedlot Program Development Lead	
	Signature of MPCA County Feedlot Program Development Lead	Date

Amendment: _____

Appendix A

2018–19 Delegation Agreement Work Plan Guidance

This Delegation Agreement Work Plan applies to feedlots that are required to be registered under MN R. Ch 7020.

If a Delegated County (County) will not be able to meet their registration, inspection, compliance and/or owner assistance strategies during the year the County needs to communicate this with the MPCA in a timely manner. If a County is unable to meet expectations they risk losing funding. If a County does not meet the minimum 7% inspection rate they also risk losing funding.

NEW!

Nitrogen Inspection replaces a Level 1 land application inspection. A compliance inspection now requires more than just verifying if application records are being kept. Inspection of the records to verify nitrogen application requirements is now also required as part of a compliance inspection.

Phosphorus/Desk-top Nitrogen & Phosphorus Record Inspection replaces a Level 2 land application inspection.

In-field Land Application Inspection replaces a Level 3 land application inspection.

Stockpile inspection

TYPES OF INSPECTIONS

(Please refer to the new Minnesota Feedlot Inspection Checklist (Checklist) to learn more about a feedlot inspection.)

Compliance Inspection is an onsite, full facility inspection during which all parts of the feedlot are inspected. When inspecting a site registered for ≥ 100 AU the nitrogen section of the Checklist must be filled out for the inspection to be complete. When entering an inspection of this type into Tempo select *FE Compliance Inspection* as the Compliance Evaluation Type.

Construction Inspection is an onsite inspection completed at a feedlot site that is constructing. A construction inspection typically involves just inspecting the construction activity that is taking place and does not require inspection of other parts of the feedlot. When entering an inspection of this type into Tempo select *FE Construction Inspection* as the Compliance Evaluation Type.

Complaint Inspection is an inspection conducted in response to a complaint. A complaint inspection typically involves just inspecting the portion of the feedlot relating to the complaint and does not require inspection of other parts of the feedlot. When entering an inspection of this type into Tempo select *FE Complaint Inspection* as the Compliance Evaluation Type.

Stockpile Inspection is an onsite inspection conducted to inspect one or more stockpiles. A stockpile inspection typically involves just inspecting the portion of the feedlot relating to the stockpile(s) and does not require inspection of other parts of the feedlot. The stockpile section(s) of the Checklist must be filled out for the inspection to be complete. When entering an inspection of this type into Tempo select *FE Stockpile Inspection* as the Compliance Evaluation Type

Land Application Inspections

- **Phosphorus Inspection** is an inspection of the phosphorus portion of land application records that is conducted in conjunction with a compliance inspection of a site registered for ≥ 300 AU. The phosphorus section of the Checklist must be filled out for the inspection to be complete. When entering an inspection of this type in Tempo both *FE Compliance Inspection* and *FE Phosphorus* are selected as Compliance Evaluation Types.

- **Desk-top Nitrogen & Phosphorus Record Inspection** is an inspection of both nitrogen and phosphorus land application records of a site registered for ≥ 300 AU. This is an independent inspection conducted without inspecting other parts of the feedlot. The nitrogen and phosphorus sections of the Checklist must be filled out for the inspection to be complete. This inspection typically would be conducted in the office after requesting and receiving application records but it could also be conducted onsite. When entering an inspection of this type into Tempo select *FE Desk-top Nitrogen & Phosphorus Record Inspection* as the Compliance Evaluation Type.
- **In-field Land Application Inspection** is an onsite/in-field inspection that focuses on land application practices including but not limited to discharges and setback requirements. The in-field land application inspection section of the Checklist must be filled out for the inspection to be complete. When entering an inspection of this type into Tempo select *FE In-field Land Application Inspection* as the Compliance Evaluation Type.

A Special Note about Inspections at Facilities Designated as a Large CAFO or Operating Under an NPDES or SDS Permit

County inspections conducted at NPDES/SDS/CAFO sites DO NOT count towards the minimum 7% inspection rate. If the inspection was requested of the County by MPCA feedlot program staff the County can add that inspection to the Annual CFO Report to obtain performance credits.

INSPECTION DOCUMENTATION

Required

Each compliance inspection must be documented. A Checklist must be used for all compliance inspections as applicable (MPR 3). The results of compliance and land application inspections are to be documented and communicated in writing to the feedlot owner (MPR 6). It is not necessary to do this for a construction or complaint inspection unless compliance issues are discovered as a result of the inspection. Both the Checklist and the written communication of inspection results to the feedlot owner need to be either in the County’s file or uploaded into Tempo. It is a future goal of the MPCA feedlot program to require Counties to upload this inspection documentation into Tempo. Documentation in the file must include the Checklist, written communication of inspection results to the feedlot owner and at least one of the following suggested pieces of documentation.

Suggested

The following are suggestions for documenting an inspection. This documentation should be either in the County’s file or uploaded into Tempo.

- **Compliance Inspection** – aerial photos, maps, camera photos, notes (on non-compliance, record review calculations), copies or photos of contents of the owner’s feedlot files or records, nitrogen record review worksheets, manure and/or soil test results
- **Construction Inspection** - aerial photos, maps, camera photos, notes, copies or photos of contents of the owner’s feedlot files or records, as-built documentation
- **Complaint Inspection** - aerial photos, maps, camera photos, notes, copies or photos of contents of the owner’s feedlot files or records, land ownership records, nitrogen and phosphorus record review worksheets, manure and/or soil test results
- **Stockpile Inspection** - aerial photos, maps, camera photos, notes, locations of nearby sensitive features requiring setbacks, soil information (slope/depth to seasonal water table/texture).
- **Land application Inspections** - aerial photos, maps, camera photos, notes, copies or photos of contents of the owner’s feedlot files or records, land ownership records, nitrogen and phosphorus record review worksheets, manure and/or soil test results

For all inspection types except Construction and Complaint:

- Inspection checklist must be used.
- Results must be entered in Tempo.
- A follow-up letter needs to be sent to the feedlot owner. The letter should include Checklist section(s) where non-compliance was identified (or a copy of the entire Checklist) and corrective actions/time frames for addressing non-compliance if applicable.
- Inspection documentation needs to be in County files or uploaded into Tempo.

For Construction and Complaint inspections:

- Inspection checklist can be used.
- Results must be entered in Tempo.
- Inspection documentation should be in County files or uploaded into Tempo.

HOW INSPECTIONS COUNT TOWARDS THE MINIMUM SEVEN PERCENT (7%) INSPECTION RATE

Compliance and Construction Inspections count toward the minimum 7% inspection rate as one (1) inspection.

Desk-top Nitrogen & Phosphorus Record Inspection (conducted independent of a compliance inspection) at a feedlot site ≥ 300 AU counts as one (1) inspection. Credit will be given only if there are records available and if those records are sufficient to meet the nitrogen record requirement first and then the phosphorus record requirement second. Therefore, looking at both nitrogen and phosphorus records during a desk-top nitrogen and phosphorus inspection counts as one (1) inspection.

In-field Land Application Inspection at a feedlot site that is required to be registered or at a feedlot site that receives manure from a site required to be registered counts as one half (0.5) an inspection. In order for the in-field land application inspection to count towards the minimum 7% inspection rate, the feedlot that is the source of the manure is required to be registered and should not be considered a large CAFO or operating under an NPDES or SDS permit.

It is important to note that only one inspection can be counted toward the minimum 7% inspection rate for any given feedlot site during the program year. For example, if a County completes a compliance inspection and an in-field land application inspection at the same feedlot site during the same program year, the in-field land application inspection cannot be counted towards the minimum 7% inspection rate. However, any additional inspections completed for the same feedlot site during the same program year may count towards performance credits.

INSPECTION STRATEGY

As part of developing a realistic inspection strategy the County needs to consider all of their strategies (compliance and land application) and the time commitment required. The County should not design their inspection goals to simply meet the minimum 7% inspection rate. Rather, the County is urged to set inspection goals according to their inspection needs such as feedlots that have never been inspected or feedlots with OLAs that have not been inspected.

Supplemental Information Page

A County must write an annual inspection strategy progress report. This is included in the Supplemental Information Page of the year-end Annual CFO Report. The County needs to be realistic with their inspection strategy because they will be required to initiate and work towards these strategy goals (MPR 5).

Recommended Approach for Developing an Inspection Strategy

Step 1. The first step is to calculate the number of feedlots the County intends to inspect annually. The County needs to set a goal of inspecting at least 7% of the total number of feedlots required to be registered in the County. Given this formula, a County with 300 feedlots would need to conduct 21 compliance inspections or a combination of 21 compliance/construction/desk-top nitrogen and phosphorus record/in-field land application inspections

annually. One in-field land application “inspection” counts as one half (0.5) inspection towards the minimum 7% inspection rate.

Step 2. The second step is to calculate the number of sites in the County that are subject to the four required inspection strategy categories (see “Required Inspection Strategies” on page 2). For example, a County may estimate based on past experience they need to inspect 15 sites as a result of permit issuance requirements, 10 sites with signed OLAs that have never been inspected and 50 sites required to be registered that have never been visited. In this case 75 sites need to be inspected.

Step 3. The third step is to decide how many inspections the County can conduct in each of the required categories over the next two years. The County must plan to inspect all sites each year where permits are being issued. However, Counties may be able to complete only a fraction of the inspections over the next two years at feedlots that have never been inspected or with signed OLAs that have never been inspected. The reason is that some Counties still have many sites that have never been inspected or with signed OLAs that have never been inspected. In the example used, the County has determined that they will do a total of 21 inspections annually (Step 1) and that 15 of them will be due to permit issuances (Step 2). This leaves six inspections available for sites that are required to be registered but have never been inspected and sites with signed OLAs that have never been visited.

Step 4. Counties may choose inspection strategies in addition to those that are required (see “Inspection Strategies” on page 3). Counties are encouraged to inspect sites in the BWSR One Watershed One Plan (see link below). Remember that inspections require follow-up and possible enforcement for non-compliant sites. Follow-up calls, letters, assistance and enforcement do not count towards the minimum 7% inspection rate.

WATERSHED CONTACTS



Interagency

Watershed Core Team



1W1P_watershed_lea

ds_map5.pdf

BWSR ONE WATERSHED ONE PLAN (1W1P)

1W1P website link: <http://bwsr.state.mn.us/planning/1W1P/index.html>

APPENDIX B**2018 County Program Base Grant Award Feedlot Number**

Delegated County	Feedlots Eligible for Funding
Big Stone	40
Blue Earth	363
Brown	386
Carver	238
Clay	105
Cottonwood	257
Douglas	420
Faribault	362
Fillmore	737
Freeborn	285
Goodhue	685
Houston	414
Jackson	330
Kandiyohi	445
Kittson	18
Lac Qui Parle	194
Lake of the Woods	25
Le Sueur	172
Lincoln	414
Lyon	282
McLeod	329
Marshall	41
Martin	474
Meeker	253
Morrison	618
Mower	381
Murray	425
Nicollet	316
Nobles	432
Norman	45
Pennington	38
Pipestone	451
Polk	77
Pope	294
Red Lake	38
Renville	288
Rice	287
Rock	512
Sibley	289
Stearns	1,491
Steele	251
Stevens	130
Swift	157
Todd	682
Traverse	34
Wadena	99
Waseca	234
Watonwan	184
Winona	555
Wright	263
Yellow Medicine	271



Le Sueur County, MN

Tuesday, November 21, 2017

Board Meeting

Item 8

10:15 a.m. Darrell Pettis, County Administrator

RE: Justice Center Update

RE: West Jefferson

RE: Set Date for CIP Hearing: December 12th, 2017 at 10:00 a.m.

RE: Drainage Conference

RE: Miscellaneous

Staff Contact:



RINKE NOONAN

attorneys at law

SAVE
THE
DATE

Drainage and Waters
CONFERENCE

Thursday, February 15, 2018

River's Edge Convention Center
ST. CLOUD MN

Presented by
Rinke Noonan Attorneys at Law



RINKE NOONAN

attorneys at law

MORE DETAILS TO FOLLOW AT
www.rinkenoonan.com

For more information please contact
Trish Hendrickson at 320.656.3510 or
thendrickson@rinkenoonan.com

Keynote Presenters:
Mark Deutschman, P.E., Ph.D., Principal Engineer, Houston Engineering: *Altered Hydrology in the Agricultural Landscape*
Joe Bischoff, M.S., Aquatic Ecologist, Wenck Associates: *Managing Nutrient Delivery and Transport on a Watershed Scale*

PO Box 1497
St. Cloud, MN 56302

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5*3**1302
County Commissioners
88 S PARK AVE
LE CENTER, MN 56057-1658



Le Sueur County, MN

Tuesday, November 21, 2017

Board Meeting

Item 9

11:00 a.m. West Jefferson Ordinance Public Hearing (30 min)

RE: Ordinance Establishing Interim SSTS Standards for West Jefferson Sewer Service District

Staff Contact:

**LE SUEUR COUNTY
ORDINANCE ESTABLISHING INTERIM SUBSURFACE SEWAGE
TREATMENT SYSTEM
STANDARDS (SSTS) FOR
WEST JEFFERSON SEWER SERVICE DISTRICT**

The County Board of Le Sueur County hereby ordains:

Effective Date: This ordinance shall become effective upon summary publication.

Properties Included in the District: The properties located in the West Jefferson Sewer Service District, as listed in Exhibit C of the Resolution establishing the District, will be subject to these interim SSTS standards.

SSTS Within the District: Shall have a compliance inspection conducted on the SSTS servicing the property when:

- **A Zoning Permit is requested.**
 - The issuance of any zoning permit (building, variance, conditional use permit, shoreland restoration) shall continue to require a compliance inspection to determine the compliance status.
 - Non-imminent health threats shall be brought into compliance on or before **December 31, 2019.**
 - Imminent health threats shall have ten (10) months to bring the property into compliance from the date of the compliance inspection.
 - Any current deadlines on file with the Department shall be extended to the above listed deadlines.
 - The County will allow installation of sewage tank(s) for use as a holding tank with a valid holding tank service agreement with a licensed and certified maintainer. The holding tank will only be allowed until December 31, 2019 or the availability of a wastewater sewer system, whichever occurs first.
 - The potential drainfield area shall be fenced off for protection from building and/or disturbance until the SSTS is brought into compliance or the property is connected to a wastewater sewer system.
- **Property Transfer**
 - Continue to require a compliance inspection at the time of sale. The compliance inspection will then be on file with the Department.
 - Inform the buyer and seller of the potential construction of a collection system owned by the District that will connect to the wastewater system owned and operated by the City of Cleveland.
 - Non-imminent health threats shall be brought into compliance on or before **December 31, 2019.**
 - Imminent health threats shall have ten (10) months to bring the property into compliance from the date of the compliance inspection.
 - Any current deadlines on file with the Department shall be extended to the above listed deadlines.

- The County will allow installation of sewage tank(s) for use as a holding tank with a valid holding tank service agreement with a licensed and certified maintainer. The holding tank will only be allowed until December 31, 2019 or the availability of a wastewater sewer system, whichever occurs first.
- The potential drainfield area shall be fenced off for protection from building and/or disturbance until the SSTS is brought into compliance or the property is connected to a wastewater sewer system.
- **Zoning Permits For New Dwelling Construction**
 - The County will allow installation of sewage tank(s) for use as a holding tank with a valid holding tank service agreement with a licensed and certified maintainer. The holding tank will only be allowed until December 31, 2019 or the availability of a wastewater sewer system, whichever occurs first.
 - The potential drainfield area shall be fenced off for protection from building and/or disturbance until the SSTS is brought into compliance or the property is connected to a wastewater sewer system.

This Ordinance shall be in full force and effect from and after its passage and summary publication according to law.

Passed and Approved: November 21, 2017

Signed: _____
 Chair, County Board of Commissioners

Attest: _____
 County Administrator

Published: _____

**AMENDMENT TO A
RESOLUTION PROVIDING FOR THE ESTABLISHMENT OF INTERIM
TECHNICAL AND ADMINISTRATIVE STANDARDS FOR THE
WEST LAKE JEFFERSON SUBORDINATE SERVICE DISTRICT.**

WHEREAS, LE SUEUR COUNTY Board of Commissioners on January 17, 2017 accepted and adopted a petition for the establishment of a subordinate service and wastewater improvement district to be known as West Lake Jefferson Subordinate Service District.

WHEREAS, LE SUEUR COUNTY adopted standards for participation with the inventory in accordance with the Interim Technical and Administrative SSTS Standards adopted by the Board.

WHEREAS, LE SUEUR COUNTY Board of Commissioners adopted Interim Subsurface Sewage Treatment Standards (SSTS) for the West Lake Jefferson Subordinate Service District on November 21, 2017.

WHEREAS, LE SUEUR COUNTY Board of Commissioners directed the Environmental Services Staff to administer and enforce the SSTS Ordinance in accordance with the Interim Technical and Administrative SSTS Standards as adopted and made part of this resolution.

THEREFORE BE IT RESOLVED, that the standards be amended in order to administer the Interim Technical and Administrative Standards the same for the participants and non-participants of the inventory within the West Lake Jefferson Subordinate Service District.

BE IT FURTHER RESOLVED, that this resolution shall be rescinded on or upon the installation of the collection and treatment of sewage to the City of Cleveland at the municipal waste water treatment facility.

STATE OF MINNESOTA

ss

COUNTY OF Le Sueur County

I, Darrell Pettis, County Administrator of said County of Le Sueur, do hereby certify that I have compared the foregoing copy with the original resolution adopted by the County Board of said County at their meeting held on the 21st day of November, 2017 and recorded in Commissioner Record Book now remaining on file and on record in my office and that the same is a correct transcript there from, and of the whole of such original.

Witness by hand and official seal this 21st day of November, 2017.

County Administrator



Le Sueur County, MN

Tuesday, November 21, 2017

Board Meeting

Item 10

Commissioner Committee Reports

Staff Contact:



Le Sueur County, MN

Tuesday, November 21, 2017

Board Meeting

Item 11

Future Meetings

Staff Contact:

Future Meetings November 2017 – January 2018

November 2017

Tuesday, Nov. 21, 2017	Board Meeting, 9:00 a.m. *West Jefferson Interim Standards Public Hearing, 11:00 a.m.
November 23-24, 2017	Offices Closed – Thanksgiving Holiday
Monday, Nov. 27, 2017	Landowner meetings with Viewers at 4H Bldg. starting at 10:00 a.m. County Ditches 19, 28, 42, 52 and 69
Tuesday, Nov. 28, 2017	Board Meeting, 9:00 a.m.
Thursday, Nov. 30, 2017	Final Redetermination Hearings, 10:00 a.m. at Courthouse County Ditches 19, 28, 42, 52 and 69

December 2017

Tuesday, Dec. 5, 2017	No Board Meeting – AMC Conference, Dec. 4-5 in St. Cloud, MN
Thursday, Dec. 7, 2017	Le Sueur – Scott Joint Ditch 4 Public Hearing at 9:00 a.m. and County Ditches 41 and 61 Public Hearings at 10:00 a.m. at the Le Sueur County Courthouse
Tuesday, Dec. 12, 2017	Board Meeting, 9:00 a.m. *CIP Public Hearing, 10:00 a.m.
Thursday, Dec. 14, 2017	P&Z Meeting, 7:00 p.m. at Environmental Services
Tuesday, Dec. 19, 2017	Board Meeting, 4:30 p.m. *2018 Budget and Levy Public Hearing 6:00 p.m.
Thursday, Dec. 21, 2017	Board of Adjustment Meeting, 3:00 p.m. at Environmental Services
Monday, Dec. 25, 2017	Offices Closed – Christmas Day
Tuesday, Dec. 26, 2017	No Board Meeting

January 2018

Monday, Jan. 1	Offices Closed – New Year’s Day
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Tuesday, Jan. 2

Board Meeting, 9:00 a.m.

*Board Reorganization, 9:00 a.m.

*CHB Meeting in Waterville

Thursday, Jan. 11

P&Z Meeting, 7:00 p.m. at Environmental Services

Monday, Jan. 15

Offices Closed – Martin Luther King Jr. Day

Tuesday, Jan. 16

Board Meeting, 9:00 a.m.

Thursday, Jan. 18

Board of Adjustment Meeting, 3:00 p.m. at Environmental Services

Tuesday, Jan. 23

Board Meeting, 9:00 a.m.

*9:15 a.m. CD 54 Public Hearings