GIAMPO – Policy Board

Tuesday, July 22, 2014 Regular Session

Item J3

Letter of Approval from FTA & FHWA for FY 2015 Unified Planning Work Program (UPWP)

Staff Contact: John Adams



U.S. Department of Transportation

June 24, 2014

Randall D. Peters Director – State Engineer Nebraska Department of Roads P.O. Box 94759 Lincoln, NE 68509-4759

Dear Mr. Peters:

Approval of FY 2015 Nebraska Planning Work Programs

Federal Transit Administration

901 Locust Street, Room 404

Kansas City, MO 64106

816-329-3920

816-329-3921 (fax)

Federal Highway Administration

100 Centennial Mall North, Rm 220

Lincoln, NE 68508

402-742-8480 (fax)

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The Federal Transit Administration (FTA) and the Federal Highway Administration (FHWA) have reviewed the FY 2015 Statewide Planning Work Program (SPR) as well as the Unified Planning Work Programs for the urbanized areas of Lincoln, Grand Island, and the multi-state urbanized areas of Omaha/Council Bluffs (Nebraska-Iowa), and Sioux City (Iowa-Nebraska-South Dakota).

Based on our review we have determined these work programs have adequate descriptions of work tasks to support FTA and FHWA funded planning activities and technical studies. We are approving both the Statewide and Metropolitan Work programs for Nebraska, with the exception of the Sioux City Metropolitan Planning Organization (SIMPCO). The Iowa Division is the FHWA lead agency for FHWA approvals for SIMPCO and as such will approve the SIMPCO work program.

Importantly, please keep in mind for revisions to the work programs Federal approval is required prior to the following actions:

- Request for additional Federal funding [49 CFR 18.30(c) (1) (i) and FTA Circular 5010.0C, I.6.e (1)].
- Transfer of funds between categories, projects, functions, or activities which exceed 10 percent of the total work program budget when the Federal award share of the total work program budget exceeds \$100,000 [49 CFR 18.30(c) (1) (ii)].
- Transfer of funds allotted for training allowances [49 CFR (c) (1) (iii)].
- Revision of scope or objectives of the activities (i.e., adding or deleting of activities or major change in scope of activity) [49 CFR 18.30 (d) (1)].
- Extending the period of performance past the approved work program period [49 CFR 18.30 (d) (2)].
- Changes in key personnel in cases where specified in an application or a grant award. [49 CFR 18.30 (d) (2)].
- Transferring substantive programmatic work to a third party (i.e., consultant) [49 CFR 18.30 (d) (4)].
- Capital expenditures, including purchasing of equipment in excess of \$5,000 [2 CFR 225].

In addition, please ensure that any of the Memberships in any organization or professional association must be in the name of the member agency and not in the name of an individual in order to be allowable. In addition, in all cases the portion of the organizations dues that is used for lobbying cannot be reimbursed with Federal funds as either an indirect or direct cost. In the case of non-profit organizations such as AASHTO, NARC, APTA, and AMPO, those activities that are considered to be lobbying and unallowable are identified in 2 CFR Part 230 Appendix B, Item 25.

If you have any questions or need additional information, please contact Justin Luther, FHWA, at (402) 742-8464, or Mark Bechtel, FTA, at (816) 329-3938.

Sincerely,

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Mokhtee Ahmad Regional Administrator Federal Transit Administration

Joseph A. Werning **Division** Administrator FHWA-NE

ec: Brad Zumwalt, NDOR Tracy Troutner, FHWA-IA Greg Youell, MAPA Marvin Krout, Lincoln Mike Brienzo, Lincoln Michelle Bostinelos, SIMPCO John Adams, GIAMPO